OpenFlow-based Aggregation Mechanism for Communication in the Internet of Things

Shilpa Manda

A Dissertation

Presented to the University of Dublin, Trinity College

in partial fulfilment of the requirements for the degree of

Master of Science in Computer Science

(Future Networked Systems)

Supervisor: Stefan Weber

August 2019
Declaration

I, the undersigned, declare that this work has not previously been submitted as an exercise for a degree at this, or any other University, and that unless otherwise stated, is my own work.

Shilpa Manda

August 10, 2019
Permission to Lend and/or Copy

I, the undersigned, agree that Trinity College Library may lend or copy this thesis upon request.

Shilpa Manda

August 10, 2019
Acknowledgments

I would like to take this opportunity to express my sincere gratitude to my supervisor Dr. Stefan Weber, for his valuable guidance and motivation throughout the course of this dissertation. I would also like to thank my mother, sister and Anish for their support and encouragement during my course at Trinity.

Shilpa Manda

University of Dublin, Trinity College
Aug 2019
OpenFlow-based Aggregation Mechanism for Communication in the Internet of Things

Shilpa Manda

Master of Science in Computer Science(Future Networked Systems)

University of Dublin, Trinity College, 2019

Supervisor: Stefan Weber

Internet of Things(IoT) is a novel technology which polls data at high frequencies and produces packets with small payloads. IoT devices make distinct measurements thus producing heterogeneous in a small area. These densely deployed devices transmit their data through the access network to store their values on the cloud, leading to flooding of packets in the access networks. IoT networks need mechanisms to handle the scale issue in future. OpenFlow is a Software-Defined Networking(SDN) approach which separates the control plane from data plane offering centralised control. Flow aggregation gathers and aggregates data based on custom flows such as source address and destination address, port numbers. This research aims at integrating support for data aggregation in OpenFlow for communication in IoT devices. This study proposed the design of an aggregator and deaggregator located at the boundary of the access network to aggregate IoT packets from edge networks into the access network and deaggregate at the receiving end. The results of this research provide a detailed analysis of the percentage of packets reduced in the access network and the latency values observed due to aggregation and how aggregation with OpenFlow can help overcome the scale issue in IoT network.
<table>
<thead>
<tr>
<th>Section</th>
<th>Title</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>2.5</td>
<td>Why OpenFlow?</td>
<td>18</td>
</tr>
<tr>
<td>2.6</td>
<td>SDN in IoT Network</td>
<td>19</td>
</tr>
<tr>
<td>2.6.1</td>
<td>Features of IoT Traffic</td>
<td>19</td>
</tr>
<tr>
<td>2.6.2</td>
<td>IoT Architecture based on SDN</td>
<td>20</td>
</tr>
<tr>
<td>2.7</td>
<td>Aggregation</td>
<td>20</td>
</tr>
<tr>
<td>2.7.1</td>
<td>Types of Aggregation</td>
<td>21</td>
</tr>
<tr>
<td>2.7.2</td>
<td>Aggregation in IoT Networks</td>
<td>22</td>
</tr>
<tr>
<td>2.8</td>
<td>Closely-related Projects</td>
<td>23</td>
</tr>
<tr>
<td>2.8.1</td>
<td>Using P4 Switches</td>
<td>23</td>
</tr>
<tr>
<td>2.8.2</td>
<td>Wide-area Networks</td>
<td>23</td>
</tr>
<tr>
<td>2.9</td>
<td>Summary</td>
<td>25</td>
</tr>
</tbody>
</table>

**Chapter 3 Problem Statement**

<table>
<thead>
<tr>
<th>Section</th>
<th>Title</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>3.1</td>
<td>Problem Formulation</td>
<td>27</td>
</tr>
<tr>
<td>3.2</td>
<td>Scope</td>
<td>28</td>
</tr>
<tr>
<td>3.3</td>
<td>Technical Challenges</td>
<td>29</td>
</tr>
<tr>
<td>3.4</td>
<td>Summary</td>
<td>30</td>
</tr>
</tbody>
</table>

**Chapter 4 Design**

<table>
<thead>
<tr>
<th>Section</th>
<th>Title</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>4.1</td>
<td>Network Architecture</td>
<td>32</td>
</tr>
<tr>
<td>4.2</td>
<td>Enhancements to Existing Code-Base</td>
<td>33</td>
</tr>
<tr>
<td>4.3</td>
<td>Software-Defined Aggregator Switch</td>
<td>33</td>
</tr>
<tr>
<td>4.4</td>
<td>Software-Defined Deaggregator Switch</td>
<td>35</td>
</tr>
<tr>
<td>4.5</td>
<td>Packet Design</td>
<td>35</td>
</tr>
<tr>
<td>4.5.1</td>
<td>IoT Packet Design</td>
<td>35</td>
</tr>
<tr>
<td>4.5.2</td>
<td>Aggregated Packet Design</td>
<td>36</td>
</tr>
<tr>
<td>4.5.3</td>
<td>Design Choices</td>
<td>37</td>
</tr>
<tr>
<td>4.6</td>
<td>System Flow</td>
<td>38</td>
</tr>
<tr>
<td>4.7</td>
<td>Summary</td>
<td>41</td>
</tr>
</tbody>
</table>

**Chapter 5 Implementation**

<table>
<thead>
<tr>
<th>Section</th>
<th>Title</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>5.1</td>
<td>Simulator Choices</td>
<td>43</td>
</tr>
<tr>
<td>5.1.1</td>
<td>OMNeT++</td>
<td>43</td>
</tr>
<tr>
<td>5.1.2</td>
<td>NS-3</td>
<td>44</td>
</tr>
</tbody>
</table>
List of Tables

5.1 Comparison of Network Simulators ........................................ 54

6.1 Parameters Considered to Generate Exhaustive List of Test Cases ... 56
# List of Figures

1.1 IoT Communication without Packet Aggregation ........................................ 2
2.1 Layers of SDN Architecture ................................................................. 6
2.2 Main Components of an OpenFlow Switch .............................................. 8
2.3 Packet Processing Steps in a OpenFlow Switch ...................................... 10
2.4 Message Passing in an OpenFlow Switch during Initialisation Phase .......... 11
2.5 Message Passing in an OpenFlow Switch during a Packet Miss ................. 12
2.6 P4 Switch Architecture ....................................................................... 13
2.7 Cisco SD-WAN Architecture .................................................................... 16
2.8 Messages Exchanged during On-boarding of a New vEdge Router in Cisco-SDWAN[13] .................................................................................. 18
2.9 Summary of Papers with Timeline and Section References ..................... 25
2.10 Summary of Technologies with Issues and Benefits ............................... 26

3.1 Proposed Solution for densely deployed IoT devices ................................. 28
4.1 Network Architecture ........................................................................... 32
4.2 Architecture of an Aggregator Switch ..................................................... 34
4.3 IoT Packet Design ............................................................................... 36
4.4 Aggregated Packet Design .................................................................... 36
4.5 Packet Flow ....................................................................................... 38

5.1 Process Flow of an Aggregator Switch ................................................... 47
5.2 Process Flow of a Deaggregator Switch ................................................ 50
5.3 Feature Reply Message ....................................................................... 51
5.4 Flow Mod Message ............................................................................. 52
5.5 UDP Aggregate Message ......................................... 53
5.6 OF Aggregate Message ........................................... 53

6.1 Phases of Testing .................................................. 57
6.2 Number of Sources: 10, Number of Destinations: 1 ............ 58
6.3 Number of Sources: 30, Number of Destinations: 1 ............ 59
6.4 Number of Sources: 50, Number of Destinations: 1 ............ 59
6.5 Number of Sources: 10, Number of Destinations: 2 ............ 60
6.6 Number of Sources: 30, Number of Destinations: 2 ............ 60
6.7 Number of Sources: 10, Number of Destinations: 5 ............ 61
6.8 Latency measurements: Sources -1, Destinations -1 .......... 62
6.9 Latency measurements: Sources -10, Destinations -1 .......... 63
6.10 Latency measurements: Sources -1, Destinations -2 .......... 64
6.11 Latency measurements: Sources -10, Destinations -2 .......... 64
6.12 Comparison of Mean Latency(us) for 1 source .................. 65
6.13 Comparison of Mean Latency(us) for 10 sources ................. 65
Chapter 1

Introduction

With the continued progress in the Internet of Things (IoT) and the resulting large-scale deployment of IoT devices, the amount of data transferred by these devices over the network has increased substantially. In contrast to the profile of traditional file-based transmissions, the communication of IoT devices consists of an enormous number of transmissions of small payloads. Traffic generated by IoT devices exhibits several characteristics that set it apart from generic traffic such as the retrieval of web pages or the streaming of videos. Individual IoT devices may produce data at irregular or periodic frequencies that are transferred through the Internet to the cloud infrastructure for collection, analysis, and interpretation. The number of IoT devices on the Internet is expected to increase exponentially, resulting in enormous amounts of small data messages flooding the network elements between widely-distributed IoT devices and semi-centralised cloud infrastructure components.

The current IoT network without data aggregation is shown in figure 1.1. The IoT devices are located at the edge network and communicate to the sink nodes or cloud through the access network. Each IoT device polls data at different frequencies and transmits this data continuously to the cloud thus generating a large amount of traffic at the access layer. This network does not scale well and the access network will be flooded with packets when the number of IoT devices would grow exponentially due to dense deployment in the near future. Thus, IoT paradigm has become a reality and is deeply integrated into our communication system[1][2].

With an exponential increase in the number of network devices, greater flexibil-
ity and efficient management and control mechanisms are needed for an end-to-end functioning of the entire network. OpenFlow is a software-defined networking control protocol to segregate the control plane from the forwarding plane in network elements, providing flexibility and easier implementation of network-wide packet forwarding policies. Thus, programmability of the data and control plane with a standardisation like OpenFlow provides an optimised technique for packet transmission.

The vast amount of traffic generated calls for mechanisms to aggregate data which belong to a particular flow and contain an overlap of packet data. IoT messages have small payloads as compared to header data, and the overlap between packet header data to the same destination becomes a considerable overhead for aggregation. Thus, data aggregation mechanisms can help to reduce the processing in the access network.

IoT is the next big technological revolution and will bring in the paradigm shift to the way we work, live and entertain ourselves. This novel technology has the potential to transform every sphere of human life but also poses a great challenge to handle the enormous amount of data generated on the network. Thus, the efficient handling of packets and smooth communication without flooding is essential for IoT to be successful.
1.1 Motivation and Aim

The three technologies mentioned in the above section: OpenFlow, Data Aggregation and Internet of things (IoT) form the basis of this project. The problems of IoT networks can be solved using aggregation and programmable networks. Thus, the basic idea of integration of the three technologies to solve the real-world problem motivated me to choose "OpenFlow-based Aggregation Mechanisms for Communication in the Internet of Things" as my dissertation topic.

Traditionally, the network elements of the Internet were designed to forward individual data messages from a source to a destination without reacting to the content of any of the messages that pass through them. Software-defined Networking (SDN) offers the opportunity to configure network elements to react to traffic flows and change the behavior of network elements based on a strategy directed by a control plane. Thus, this research aims at:

1. Integrating support for aggregation of network traffic from IoT devices into OpenFlow.
2. Addressing the challenge of the flooding of the Internet infrastructure with the vast number of small messages.
3. Analyse the latency values of packets and reduction in the percentage of access network traffic as a result of aggregation.

1.2 Dissertation Map

The dissertation is structured into seven chapters with focus areas as described below:

- **Chapter 1: Introduction**- This chapter gives a brief introduction about the current IoT architecture and how OpenFlow and Aggregation can be used to solve the scale issue in an IoT network in the near future. It also discusses the motivation and aims of the project.

- **Chapter 2: State of the Art**- This chapter talks in detail about the three technologies used in this project: SDN which includes OpenFlow, P4 and SD-
Wan, Aggregation and Internet of Things. In addition to this, it also lists other closely related previous work on this topic.

- **Chapter 3: Problem Statement**- This chapter gives an abstract view of the solution along with the scope and technical challenges faced during the project execution.

- **Chapter 4: Design**- The design chapter focuses on the network architecture used for experimentation, the design modifications in the OpenFlow switch and controller. It also shows the design aspects of the aggregator, deaggregator and the packets used for research. Lastly, it depicts the complete flow of packets in the end-to-end system.

- **Chapter 5: Implementation**- This chapter starts by comparing the different simulators which were available for the thesis and which best suited the scenario. Then it talks about the setup environment, the detailed implementation of different modules in the aggregator and deaggregator. Finally, it shows the implementation of modified and new messages introduced for this project.

- **Chapter 6: Results and Evaluation**- In this chapter, a thorough analysis of the latency of packets in the network and the percentage of reduction in the packets in the access network is done. Results are depicted in graphs along with detailed discussion.

- **Chapter 7: Conclusion and Future Works**- Finally, this chapter lists the conclusions drawn from the research and how this work can be further extended.
Chapter 2

State of the Art

This chapter starts with a detailed description of the different technologies involved in this project: SDN, Aggregation and IoT. It further expands on different implementations of SDN, namely, OpenFlow, Programming Protocol-Independent Packet Processor (P4) and Cisco SD-WAN (Software-Defined Wide Area Networks), and comparison amongst them with respect to this dissertation. Sections 2.6 and 2.7 talk about how IoT traffic is different from traditional network traffic and which aggregation mechanism is best suited for our project. Lastly, section 2.8 describes and analyses the closely related projects to our dissertation.

2.1 Software Defined Networking

With the introduction of network programmability, traditional networks have become highly flexible, dynamic, and easily manageable. Higher performance, early detection of errors, and faster extensibility of switches and routers with new features are some of the significant benefits of a programmable network. Such a network which can be controlled using software is called a software-defined network. SDN provides a clear separation of duties in network elements, thus providing efficient management of networks. Different architectures of SDN have been proposed and implemented in products namely OpenFlow switches, SD-WAN routers and P4 switches. The architecture of a software-defined network can be divided into Device Layer, Data Layer, Control Layer, and Application Layer, as shown in figure 2.1.
Figure 2.1: Layers of SDN Architecture

- **Device Layer**: The device layer of the SDN architecture consists of network infrastructure, for example, IoT devices, gateways. These devices can be physical or virtual in nature. The edge routers may be present at the boundary of the device layer and data layer, which connects the two layers together and forwards the data from the device layer to the data layer.

- **Data Layer**: The data layer of an SDN network consists of programmable and non-programmable routers and switches. An SDN network supports the co-existence of both software programmed components with non-programmable devices. These elements are specialised in forwarding and do not make any routing decisions. The southbound interfaces (Application interfaces) form the bridge between the control plane and the underlying network components in the data plane. OpenFlow is the most widely accepted and deployed open southbound standard for SDN.[3]

- **Control Layer**: The control layer of an SDN network consists of SDN controllers, orchestration devices, and network hypervisors. SDN controllers or the network operating system(NOS) have a complete view of the network and make routing decisions based on configured policies for the data layer devices. They
thus form the brain of the network. The orchestration devices allow automatic deployment, configuration and management of a large number of network components, thus handling scale scenarios efficiently. Besides, to enable resource sharing among distinct virtual machines, network hypervisors in an SDN allow the cooperative (sequential or parallel) execution of applications developed with different programming languages or conceived for diverse control platforms. It offers interoperability and portability in addition to the typical functions of network hypervisors [3].

- **Application Layer**: The application layer consists of network applications for different applications such as traffic engineering, measurements, monitoring, security. The management plane also consists of high-level programming languages which are powerful tools for implementing and providing abstractions for important properties and functions of SDN such as network-wide structures, distributed updates, modular composition, virtualisation, and formal verification.

### 2.2 OpenFlow

OpenFlow[4] is the most widely accepted SDN standard for communication between the control and data plane. OpenFlow is a flow-oriented protocol and has switches and ports abstraction to control the flow. It is a protocol that enables the implementation of the SDN concept in both hardware and software.[5]. In SDN, there is a controller in the control plane, which makes all the routing decisions and manages the OpenFlow switches in the data plane. The OpenFlow switches contain multiple flow tables which are populated by the controller based on configured policies. These flow table entries are then used by the switches to forward the data packets in the network[6]. Thus, by decoupling control plane and data plane, SDN approach simplifies network management and speeds up network innovations and standard protocols such as OpenFlow simplify the implementation of programmability in the network.

#### 2.2.1 OpenFlow Architecture

The OpenFlow network architecture consists of OpenFlow controllers and OpenFlow switches which communicate using OpenFlow protocol as shown in figure 2.2.
Main Components of an OpenFlow Switch

- **OpenFlow Switch**: An OpenFlow switch consists of a secure control channel, one or more match action tables, group tables and an optional packet buffer. The flow tables are referred to as match action tables in the figure 2.2. The flow tables and group tables together constitute the data pipeline of the switch. Each flow table contains a set of flow entries; each flow entry consists of match fields, counters, and a set of instructions to apply to the matching packets[7]. The group table stores the group entries where a list of action buckets are present for every entry based on the type of group. These actions in the action bucket are applied to the packets sent to that particular group. A configurable packet buffer stores the incoming data packets for processing and forwarding to its destination.

- **Controller**: An OpenFlow controller controls and manages one or more OpenFlow switches in an SDN. It is a centralised controller which maintains the entire topology information and monitors the entire status of the network[8]. Using the OpenFlow protocol, the controller can add, update, and delete flow entries in flow tables, both reactively (in response to packets) and proactively [7].

- **Match Action Tables**: The controller populates the flow-entries in the flow table of an OpenFlow switch which consists of network operations or simple
actions to be applied for the matching packets. Actions included in instructions describe packet forwarding, packet modification, and group table processing [7]. A chain of flow tables can be configured in a pipeline to apply one or more forwarding rules to the incoming packets. Timeouts are also configured for every flow entry which determines the expiry time of a flow-entry in a switch. Priority values are used to match the precedence values of the flow entries.

2.2.2 Packet Processing in OpenFlow

Figure 2.3 represents the flow of packets in an OpenFlow 1.0.0 switch. Every packet in the network consists of headers and payload field. The header fields of a packet are used to find the corresponding flow entries in the flow table and actions are taken accordingly. The order of processing of a network packet in an OpenFlow switch is as follows:

- **Packet Parsing system**:
  The dataplane packet first enters the Packet Parsing System where the headers of the packet are extracted. The matching criteria are extracted from the headers and are sent to the Packet Matching System. Packet match criteria for look-ups is dependent on the kind of packet and is composed of different header fields such as Ethernet source address, destination IPv4 address.

- **Packet Matching system**:
  The match criteria are then sent to the Packet Matching System. This matches the look-up keys with the match fields of the flow table entries to search for the corresponding action. In case there is a match, the respective action is executed, else, the packet is forwarded to the OpenFlow controller using a Packet-In Message. The controller responds with a Packet-Out Message and Flow-Mod Message which is used to update the flow entries in the flow tables.

2.2.3 Message Passing in OpenFlow

Figure 2.4 shows the sequence of messages exchanged between the OpenFlow controller and OpenFlow switch during the initialisation phase. The controller and switch
establish a secure and reliable communication channel over Transport Control Protocol (TCP).

The OpenFlow switch and controller initially exchange hello and syn messages as a part of TCP 3-way handshake. Then the controller sends a query message called Features Request Message to query about the state configuration parameters from the switch. The switch acknowledges the message and responds with a Feature Reply Message. This message contains the configuration parameters of the switch; for example, the number of flow tables supported, buffer size, spanning tree support. The controller acknowledges this message and responds with a Flow-Mod Message. A Flow-Mod Message contains parameters specific to a flow entry in a flow table, for example, timeouts, buffer identifiers, input ports, output ports, priority values. This message is further
Figure 2.4: Message Passing in an OpenFlow Switch during Initialisation Phase

acknowledged by the switch. Thus, initialisation of an OpenFlow switch is complete.

Figure 2.5 shows the sequence of messages exchanged between an OpenFlow switch and controller during a packet miss.

In scenarios where a flow entry is not found in the flow table, the switch sends a Packet-In Message to the controller containing the packet details and buffer details where the packet is buffered. The controller acknowledges this message and responds with a Packet-Out Message. This message instructs the switch to take appropriate action with the data packet. The controller also populates the complete route via a Flow-Mod Message in the flow table of the switch.
2.3 Programming Protocol-Independent Packet Processors (P4)

On the one hand, where OpenFlow defines a standard protocol for communication between the forwarding and the control plane, P4 removes the dependence on protocols itself. P4 is a high-level language used to program switches which are not tied to any specific network protocols [9]. It is maintained by P4 Language Consortium [10]. There are three main features of a P4 processor:

1. Operation of P4 switches is independent of the underlying network protocol.
2. The switches can be reconfigured in the way they process the packets after being deployed in the field.
3. The processing functionality of the packets is independent of the underlying hard-
ware [9].

### 2.3.1 Abstract Forwarding Model

![P4 Switch Architecture](image)

P4 is a high-level language which is designed to across different forwarding devices and technologies, thus providing a protocol-independent and target-independent processor. The abstract forwarding model of a P4 switch consists of a programmable parser, match action tables comprising of forwarding rules which make up the ingress and egress pipeline. The P4 Switch architecture is shown in figure 2.6.

A programmable parser in P4 allows the switch to run multiple target-independent programs which are mapped using the compiler. The underlying hardware can range from fast ASIC-based switches to slow software-based ones, thus satisfying the primary goals of a P4 switch[11]. A user-supplied P4 program is compiled by the P4 compiler. This generates a target-specific binary which controls the entries in the match action
tables. The match action tables and parsers are divided between ingress and egress pipelines. A data packet first enters the parser of the ingress pipeline. The parser extracts the headers and forwards them to the match action tables. These tables find the corresponding action based on lookup keys and process the data in a sequence of match action tables. The packet is reconstructed at the deparser of the egress pipeline and then forwarded to the output channel.

2.3.2 Operations in a P4 switch

There are two major operations for a P4 switch to forward packets in the network smoothly: configure and populate\[9\].

The configure operation step performs the following functionalities:
1. configures the programmable parse
2. determine the order execution of the match action tables
3. set the header fields to be executed under each match action stage.

The match action entries specified during the configuration operation are populated during the populate operation. Thus, this operation determines the policies applied during the forwarding of packets.

2.3.3 Language Components

There are five main components of P4 language:

- **Headers** A header consists of multiple fields which have a set order and structure. The size of field values in terms of width and the constraints on these values are also defined as a part of the header.

- **Parsers** A programmable parser in P4 switches identify valid headers. These headers are then extracted and processed in a particular sequence.

- **Tables** Tables match any subset of header fields parsed by the parser. The fields matched in the header are reconfigurable in a P4 switch and are not fixed in nature. The P4 table abstraction specifies the fields to match on (such as source
or destination IP or MAC address), the match policy (such as exact match, ternary matches with wildcards, or longest prefix match), the size of the table to assist the compiler back-end and the allowed set of actions for this table[12].

- **Actions** The match + action tables consist of various complex actions which are based on simpler protocol-independent primitives. On a successful match, the corresponding action such as drop, flood, mark, etc. are executed from the tables.

- **Control Program** A control program determines the order of execution of match action tables. In a P4 switch, the order of execution of match action tables can be serial or parallel[9].

### 2.3.4 P4 Vs OpenFlow

1. The parser in OpenFlow is fixed whereas P4 switches support a programmable parser where new header fields can be defined.

2. Complex actions can be defined which are composed of protocol-independent primitives and the operation of P4 switches is independent of the underlying hardware and network protocols.

3. A P4 switch can have the match and action tables in series or parallel whereas in OpenFlow switches the match action tables are always in series.

### 2.4 SD WAN

SD-WAN is the application of SDN technology to wide-area networks such as LTE, MPLS, or the Internet. It separates the data and control plane, which is managed by a management layer, usually on the cloud. SD-WAN technology is independent of the underlying transport protocol. It also provides additional network services such as WAN optimisation, cloud security. The endpoints in an SD-WAN environment can be cloud, data-centre, branch, or a campus, thus providing with endpoint flexibility as well.
Increased network traffic with the tremendous growth of the IoT, software as a service (SaaS) and cloud applications has led to the application of SDN principles to the WAN network thus providing greater security, flexibility, and optimisation at the WAN level.

### 2.4.1 Cisco SD-WAN Architecture

The Cisco SD-WAN solution comprises of four planes: data, control, management, and orchestration plane, as shown in figure 2.7[13].

**Figure 2.7: Cisco SD-WAN Architecture**

- **Orchestration Plane:** The orchestration plane enables automation of authentication and on-boarding of new devices in the network, thus enabling scale deployments. The vBond orchestrator performs the orchestration of the network in Cisco SD-WAN solution.

- **Management Plane:** The management plane consists of vManage, which helps in easy monitoring, configuration, and maintenance of the network components in the underlying control and data plane.
• **Control Plane:** The control plane consists of the vSmart controller which controls the vEdge routers in the data plane and distributes the routes and policy information to the routers. It communicates to the vEdge routers securely over Overlay Management Protocol (OMP). The OMP protocol is used to exchange control information such as route prefixes, policies, cryptographic keys, etc. over Transport Layer Security (TLS) and Datagram Transport Layer Security (DTLS) between the vSmart controller and vEdge routers.

• **Data Plane:** The data plane consists of vEdge routers which forward the packets which sit at a physical site or in the cloud and provides secure data plane connectivity among the sites over one or more WAN transports[13].

### 2.4.2 Communication in Cisco SD-WAN

The vEdge routers communicate securely to vSmart and vManage over DTLS and TLS. vEdge routers establish IPSec tunnels amongst themselves for communication over both TLS and DTLS. Loss of packets, jitter, and latency, are detected by Bidirectional Forwarding Detection (BFD), which is enabled by default over IPSec (Internet Protocol Security). When a new vEdge router joins a network, the following steps take place:

1. vEdge router is first authenticated by vBond orchestrator and obtains the IP address of vSmart and vManage from it over a secure channel. The vBond also informs the vManage and vSmart about the new vEdge.

2. The vManage then authenticates the vEdge router and send the full configuration file of vEdge router if available.

3. The vSmart then authenticates the vEdge and establishes an OMP session between them for the exchange of control information such as routes, policies, etc.

4. Lastly, the vEdge establishes IPSec tunnels with other vEdge routers. The message passing is depicted in figure 2.8.
2.5 Why OpenFlow?

On the one hand, P4 offers routing independent of target hardware and protocol; it is still an evolving concept in the networking field. There have been no significant deployments in production yet. Whereas OpenFlow, on the other hand, is a standardised protocol used for communication between the control and data plane and OpenFlow switches and agents have been widely adopted. This protocol has been repeatedly tested by enterprises and researchers over the years on both simulators and test-beds. P4 simulators and controllers for testing and management are still under development. The first version of P4Runtime [14] which is used to control the running P4 forwarding element is relatively a new concept released in March 2019.

Cisco SD-WAN routers on other hand use OMP protocol, which is the heart of Viptella overlay routing environment. It is used for establishing and maintaining the control plane, whereas OpenFlow, promoted by Open Networking Foundation (ONF) is implemented by many network vendors. Due to the reasons mentioned above, OpenFlow is found to be suitable for development and testing of large scale topologies with
heavy IoT traffic.

2.6 SDN in IoT Network

Traffic generated by the IoT network has many features which set it apart from the traffic generated by the access network. Due to the vast number of packets generated, network resources such as bandwidth, channel utilisation, and throughput become all the more important in this case. With the use of SDN technologies in an IoT network, these resources can be optimised along with greater flexibility and management in the network.

Flow-Sensor[15], Sensor OpenFlow[16], and SDWN (Software-Defined Wireless Networks) [17] are some of the previous works to support SDN technologies in the wireless domain. One recent effort to introduce SDN technologies to IoT network was made in [18], which proposed a layered architecture with an SDN controller promoting programmability and efficient communication among heterogeneous devices.[19] proposed a programmable SDN switch based on P4, deployed in an IoT network.

2.6.1 Features of IoT Traffic

Some of the basic features of traffic generated by the majority of IoT network devices are:

1. A large number of small packets of data generated by sensors distributed over an area.

2. Packets containing redundant information generated at real-time at regular or irregular frequencies.

3. Heterogeneous data generated by different kinds of devices deployed in a small area as opposed to homogeneous data generated by wireless sensor networks.

4. Packets containing small payloads of data such as sensor readings, live messages generated at a very high frequency.

5. IoT network devices such as smart meters, actuators, and smart meters are resource-constrained devices which have limited energy and memory. They are
usually battery-operated devices with limited power thus requiring lightweight communication technology[20].

### 2.6.2 IoT Architecture based on SDN

[18] proposed a layered SDN based architecture for IoT network. The network consists of four layers: Device Layer, Communication Layer, Computing Layer, and the Service Layer.

- **Device Layer**: The device layer consists of densely deployed IoT devices. These devices communicate with the gateways or routers in the communication layer using device interface drivers. The sink devices at the edge of the communication and device layer aggregate and cache the data obtained from the IoT devices.

- **Communication Layer**: This layer consists of gateways and routers, which forward the data from the remote IoT devices. These also perform application-specific data processing and local analysis. Protocol converting, node management, security. Data storing and caching and data forwarding are the main functions of the communication layer.

- **Computing Layer**: The computing layer consists of SDN controllers which forward and process the data received by the IoT devices. Equipment management, IoT service management, security management, topology management, operation, and maintenance are the main functions of the computing layer. The SDN controller communicates to the gateways and routers using the South Bound Interface (SBI) driver on the controller.

- **Service Layer**: IoT services, for example, smart homes, intelligent transport, are built at the service layer by operators and developers[21][22].

### 2.7 Aggregation

IoT network consists of densely deployed devices such as sensors, actuators, smart meters, which emit packets at high and irregular frequency containing redundant data. Thus, the huge number of packets generated can flood the access network and consume
a high percentage of the bandwidth leading to degradation of the network performance. In a scenario where IoT devices are densely deployed, and quite a few short packets are transmitted in WAN, the number of processed packets per second (pps) increases at the forwarding routers thus increasing the power consumption, processing delays, and packet loss. [20]. The overhead associated with every packet, for example, Ethernet frame header, IP header, also adds to the number of bytes transmitted leading to inefficient bandwidth usage. Thus, different aggregation mechanisms focus on combining the packets to reduce the number of packets transmitted in the network and increasing the lifetime of the network components.

2.7.1 Types of Aggregation

Data aggregation aims at gathering and aggregating data in an energy-efficient manner to increase the lifetime of the network. The number of packets that could be aggregated together depends if the network is delay-tolerant or delay-intolerant and the acceptable latency value at the destination. This process, when applied globally at intermediate nodes, is referred to as in-network data aggregation[23]. There are two types of in-network aggregation:

- with size reduction: In-network aggregation with size reduction refers to the process of combining and compressing the data packets received by a node from its neighbours to reduce the packet length to be transmitted or forwarded towards the sink. This is suitable in a network having components emitting homogeneous packets containing redundant data.

- without size reduction: In this scenario, the value of the data is not processed, and the data received from different sources are merged into a single packet depending on the aggregation factor. This process can be applied to heterogeneous data as the value of the data is not processed, and the packets are aggregated depending on the destination[23].

Flow-based aggregation[24][25] refers to the gathering and merging data which belong to a particular flow. A flow can be characterised one or more attributes of a network packet such as a TCP connection or a UDP stream described by for example source and destination IP addresses, source, and destination port numbers, or the
protocol number. For flow-based monitoring, a flow is identified by source-destination addresses, source-destination port numbers, and protocol[26]. A network packet comprises of a header which contains the metadata information and payload which is the information being conveyed. Network packets belonging to a particular flow has several overlapping elements such as Ethernet frame header, source or destination IP addresses. In scenarios where the payload size is very small, the header information makes up the majority of the bits in a packet and constitute the overhead. This overhead can be minimised by combining the packets which belong to a particular flow, thus minimising the packets in the network.

2.7.2 Aggregation in IoT Networks

IoT wide area network consists of heterogeneous data from different kinds of sources. In [27], packet aggregation in a multi-hop wireless sensor network was studied, where the nodes form a tree structure. The parent nodes receive data from the child nodes, aggregate their data to the received packets, and send the data upwards towards their parent until finally, it reaches the sink node. [20] states the WAN requirements of IoT network and proposed an aggregation and disaggregation scheme based at Constrained Application Protocol (CoAP) layer with IP and UDP at network and transport layer respectively. The WAN IoT traffic requirements include:

- Aggregation and deaggregation of packets with different destinations, thus supporting non-unidirectional aggregation of data.
- Selective aggregation of data depending on the types of applications. For example, in applications requiring real-time transmission of data, uniform aggregation can lead to increased latency, which is not acceptable.
- Nodes which support aggregation capabilities can coexist with those that do not, thus allowing the adoption to node capability.

As IoT devices have limited power and memory[28], energy-optimal aggregation schemes not only reduce packets through aggregation, but also increase the lifetime of network components and this would help us solve the scale issue in IoT network in an optimal manner[29]
2.8 Closely-related Projects

This section details the closely related projects where aggregation of data is performed in an IoT network using SDN approaches.

2.8.1 Using P4 Switches

P4 switches have a programmable control plane and data plane and offer high flexibility in packet switching based on header manipulation using P4 language. [19] proposes a novel approach to aggregation and deaggregation of IoT traffic in P4 switches and claim to achieve speeds up to 100Gbps per port. This translates to 6.5Tbps in a 64 port switch. The proposed functionality was implemented in EdgeCore P4 switches, and the IoT devices were simulated using a Spirent Test Centre[19].

- The Spirent Test Centre provides the source for IoT traffic at rates as high as 100Gbps per line rate. These IoT packets are sent to the first EdgeCore P4 switch. The first switch on receiving N IoT messages aggregates them together over UDP.

- A new flag header was introduced between UDP header and payload. This flag header contains a type field to distinguish an IoT packet from an aggregated packet.

- The first P4 switch extracts the UDP payloads of the incoming IoT messages, stores them in a register and constructs an aggregated packet by introducing an aggregated header which consists of N payloads collected from IoT packets.

- These aggregated packets are transported to the other P4 switch which deaggregates them and transports them back to the Spirent Test Centre which also acts as the sink for the packets.

2.8.2 Wide-area Networks

[20] proposed aggregation and deaggregation of IoT traffic in a wide area network. Wide-area network consists of IoT devices which have various communication patterns.
Aggregation and deaggregation of IoT traffic in wide area networks should support the following requirements:

1. Different types of traffic destined for various destinations and coming from multiple sources.
2. Selective aggregation of real-time or uniform traffic based on applications.
3. WAN should support network components which can handle both aggregated and non-aggregated traffic.

The tests were performed in a network consisting of four types of nodes:

1. **Ingress Nodes or IoT nodes**: These devices emit various kinds of IoT traffic at different frequencies. For example, IoT gateways and home gateways.

2. **Intermediate Nodes which support Aggregation**: These devices aggregate the traffic received based on the destination within the same autonomous system and forward the traffic to the other WAN routers which do not support aggregation.

3. **Intermediate Nodes which do not support Aggregation**: These routers form the backbone of a WAN and only forward the received packets. They do not have any aggregation or deaggregation capabilities. A flag is used to distinguish between the two kinds of intermediate nodes.

4. **End Nodes**: These nodes deaggregate the received packets and are similar to the border routers with other ISPs (Internet Service Providers) or data centres.

The aggregation and deaggregation of network traffic are limited to the routers or gateways located at the borders of different types of network. This enables to reduce the power consumption of the core devices in the WAN and increases the lifetime of the network. The process of aggregation is reversible and does not change the information in the payload. [20] proposed the creation of overlay networks based on the kinds of information emitted by the IoT devices. Different logical networks can handle information with different requirements.
2.9 Summary

Figure 2.9: Summary of Papers with Timeline and Section References

Figure 2.9 depicts a few of the closely related works under the respective topics with the timeline. The figure lists research papers on SDN, which covers OpenFlow as well.

There has been very less research in the areas overlapping SDN and aggregation of packets over the network. The closely related projects section in the figure lists the projects on P4 switches, aggregation and IoT. However, no work has been done on the integration of OpenFlow with aggregation and IoT. Thus, "OpenFlow-based Aggregation Mechanisms for Communication in the Internet of Things" forms an entirely new area of research.
Figure 2.10 summarises the main features of OpenFlow, IoT and aggregation. The benefits offered by SDN and aggregation can be used to overcome the scale issue in IoT. This idea now forms the basis of this dissertation, and further chapters explain how this can become a reality with our implementation.
Chapter 3

Problem Statement

This chapter is divided into three sections. Section 3.1 talks about the problem at hand and gives an abstract view of the solution. Section 3.2 describes the use-cases, and the scope of this project and the last section lists a few challenges faced during the execution phase.

3.1 Problem Formulation

IoT devices have low polling intervals in the order of a few seconds or minutes depending on the requirement and thus generate large amounts of data per device for communication. This increases exponentially due to the high density deployments of IoT devices. IoT data has a small payload size in comparison to its header data, thus motivating us to merge the packets together based on flows such as source and destination addresses or application type.

In this thesis, we address the problem of scale in IoT networks by integrating OpenFlow based aggregation mechanisms for communication in an IoT network. This offers two major benefits:

- With the introduction of programmability in the network, network operators have greater control over the network and can manage them with greater efficiency.

- The amount of traffic flowing through the access networks reduces considerably due to the aggregation of packets.
Figure 3.1: Proposed Solution for densely deployed IoT devices

Figure 3.1 gives an abstract view of the solution proposed in this thesis. An aggregator switch is placed at the boundary of the edge network and access network. This is a programmable OpenFlow switch which generates aggregated packets from the traffic coming from IoT devices in the edge network and forwards them to the access network switches. The access network switches forward the aggregated packets to a deaggregator located at the edge of the access network and the destination which is a cloud in our case. The deaggregator accepts incoming aggregated packets and generates the individual IoT packets to transmit to the destination.

In this project, the aggregator, access network and deaggregator are all programmable switches and are controlled by one or more OpenFlow controllers in the controller plane.

3.2 Scope

The scope of this thesis is in the field of IoT network, which offers a new paradigm shift in the field of communication and networking. In-network aggregation mechanisms have been studied and implemented in wireless sensor networks (WSN) from a long time[30]. However, IoT generates heterogeneous data which cannot be aggregated
like the homogeneous data generated by WSN. This project finds its application in a network with densely deployed heterogeneous IoT devices generating small payloads at high frequencies and communicating to a common destination. This is the most common use-case of an IoT network.

Integration of three major technologies: OpenFlow, Aggregation and IoT to solve the issue of scale and flooding in the coming years, shows a very promising future for this field of research. OpenFlow is a standardised interface between control plane and data plane which has been thoroughly tested by researchers and enterprises on test-beds and simulators. Data aggregation has been widely adopted in wireless sensor networks and has been proven to provide excellent performance results[31]. IoT is the upcoming technology, which has become a reality in the past years and would find tremendous growth in the near future. Thus, this dissertation integrates the benefits of standardised technologies to minimise the scale issues with upcoming IoT deployments. In addition to this, section 7.1 talks about two specific use-cases which this project can be implemented.

3.3 Technical Challenges

Overall, the project development and execution process was smooth. Although, there were two significant challenges which had to be handled during this dissertation:

- The development and testing for this thesis was carried out on Linux environment(Ubuntu) with 8GB RAM in dual boot conditions with Windows Operating system. OMNeT++ network simulator was used. Due to the constraints on the memory and processing power, the OMNeT++ simulations took considerably longer time to run, especially in scenarios where the frequency of the packet transmissions was very high(100us). This limited the number of sources, destination and number of experiments that could have been run during the research period. Thus, prioritising the test-cases, to run them in the stipulated time with the given hardware constraints, was one of the major challenges.

- The setup environment used for this dissertation is mentioned in section 5.2. OMNeT++ network simulator supports OpenFlow version 1.3 which was released in June 2012, whereas the current OpenFlow version is 1.5.1. Other simulators such
as Mininet and Ns3 supported OpenFlow versions 1.3 and 0.89, respectively. R. Hornig, who is an INET core member and OMNeT++ developer, developed a partial implementation of OpenFlow, which was the latest code available[32]. Thus, the challenge was to either go ahead with an old version of OpenFlow or select the latest partially implemented code-base which would satisfy the requirements of this dissertation.

### 3.4 Summary

This thesis addresses the scale issue in IoT network by introducing programmability in the network components and integrating support for aggregation into this software-defined IoT network. For successful aggregation and deaggregation of packets, we propose the implementation of software programmed edge switches which have aggregation properties and can be controlled by a centralised controller. This setup not only reduces the flooding of packets in the access network but also allows efficient management of the IoT network.
Chapter 4

Design

This chapter describes the detailed design of the network components and the approach taken to achieve the aim of the thesis. There are four main sections in this chapter:

- **Network Architecture:** This section lists the main functional topology which was used throughout for experimentation.

- **Aggregator:** This section describes the detailed design of the aggregator switch, which is a modified OpenFlow switch.

- **Deaggregator:** This section describes the design of the deaggregator switch which deaggregates the packets at the receiving end. It is also a modified version of the OpenFlow switch.

- **Packet Design:** This section portrays the design of the IoT and aggregated packet. It also talks about the new packets introduced for aggregation.

- **System Flow:** This section presents a complete end-to-end flow of packets in the network for aggregation and deaggregation.

In this chapter, we would be using the terms edge network and device layer interchangeably. Also, both the aggregator and deaggregator switches are configured in software and are sometimes referred to as software-defined aggregator and software-defined deaggregator respectively.
4.1 Network Architecture

The network architecture used for experiments has six kinds of network components and is divided into three layers. The device layer consists of clients and servers. The clients act as IoT devices which produce packets for aggregation. These are configured in the form of arrays for large numbers. The servers, also configured in the form of arrays, act as the sink for the deaggregated traffic. The aggregator switch is an edge switch which aggregates the traffic from clients and transmits them to the OpenFlow switches. The aggregator can only perform aggregation and are not capable of deaggregation. The OF switches are the traditional access layer OpenFlow switches which perform forwarding based on match action tables. These switches do not have aggregation or deaggregation capabilities. However, they can switch the aggregated traffic from source to destination. The deaggregator switch deaggregates the received packets and sends them to the servers which are the destination in this architecture.
4.2 Enhancements to Existing Code-Base

Traditional OpenFlow switches and controller should co-exist in a network which supports aggregation of packets. Hence, below-enlisted enhancements are needed:

- **OpenFlow Switch:** A new aggregation flag is introduced in the OpenFlow switch to distinguish between OpenFlow switches which support aggregation from those which do not.

- **OpenFlow Controller:** The switch information stored in the OpenFlow controller should store information about the aggregation properties of the switch. A new aggregation flag is introduced for every switch in the controller as well which stores the aggregation properties of a switch.

- **Switch Configuration Messages:** The switch configuration details are exchanged between the controller and switch using the feature request and reply messages. The Feature Reply Message is sent by the switches in the forwarding plane to the controller in response to the Feature Request Message sent by the controller. The Feature Reply Message contains the configuration details of the switch. This message is enhanced to include the aggregation flag to communicate to the controller.

- **Modify Flow Entry Messages:** The controller communicates the aggregation parameters and requirements on which the aggregator switch will aggregate the packets. In this case, the aggregation factor, which is the number of packets to be aggregated, is communicated by the controller to the aggregator switches through the Flow Mod Messages.

4.3 Software-Defined Aggregator Switch

The software-defined aggregator switch is located at the boundary of the edge network and access network. This switch aggregates the packets received from the device layer and transmits them to the access layer. The aggregator switch is an extension of the OpenFlow switch with additional functionalities.
The aggregator has the aggregation flag set to true. It also contains an additional aggregation buffer which stores the packets for aggregation. This aggregator switch aggregates the packets based on the destination MAC address of the packet. Thus, the packets destined to the same MAC address are combined together into an aggregated packet. The aggregation takes place under two scenarios:

1. once the size of the buffer for a particular destination reaches the value of aggregation factor (N) as communicated by the controller.

2. once the length of the packets for a particular destination exceeds the threshold value length of the Ethernet frame. The calculation of the threshold is discussed in section 4.6.

Section 4.5.2 explains the design and steps to create an aggregated packet. The complete flow of packets in an aggregator is discussed in 4.6.
4.4 Software-Defined Deaggregator Switch

The software-defined deaggregator is present at the edge of the access network and cloud. It is connected to the servers which act as the sink for the IoT messages. The deaggregator receives the aggregated traffic from the access network, deaggregates the packets from the aggregated packets and forms individual IoT packets. These individual packets can have a different source IP address, but they are all destined towards the same IP address. The deaggregator switch is an extension of the OpenFlow switch. All the incoming packets to a deaggregator first enter the message queue. Packets are examined in order from this queue. For a non-aggregated packet, it is processed directly and forwarded as per the match action tables. Whereas, for an aggregated packet, it is first sent to a deaggregator function and produce individual packets for forwarding.

We would first introduce the packet design in the next section, and the detailed steps for deaggregation are discussed in section 4.6. For a complete implementation of the deaggregator switch, refer to section 5.4.

4.5 Packet Design

This section discusses the packet design of the IoT messages emitted by the IoT devices, the structure of the aggregated packet at the aggregator switch and the various design considerations to construct the aggregated packet.

4.5.1 IoT Packet Design

The structure of an IoT packet is shown in figure 4.3.

The IoT packet consists of an Ethernet frame header, IPv4 header, UDP header, and UDP payload. UDP is a lightweight protocol and provides connectionless communication using datagrams. It does not send or receive acknowledgements, thus reducing the traffic transmitted in the network. IoT devices are battery powered and have limited memory. Thus, UDP is optimised for communication in LLN (low power and lossy networks) in a scale scenario (thousands of nodes). Experiments in this thesis assume a large number of nodes with limited power and memory communicating over
Figure 4.3: IoT Packet Design

UDP.

4.5.2 Aggregated Packet Design

Figure 4.4 represents the packet structure of an aggregated packet.

Figure 4.4: Aggregated Packet Design
An aggregated packet consists of multiple IoT packets merged together. The number of IoT packets to be combined depends on the packet size of the individual IoT packets and the aggregation factor. The packets combined in a single aggregate packet have the same destination IP address but can originate from different sources and contain heterogeneous data. For efficient aggregation and deaggregation, two new message types are introduced:

1. **UDP Aggregate Message**: This message encapsulates the UDP header and payload of the IoT message in its payload. It populates the IPv4 (Internet Protocol Version 4) source address extracted from the IPv4 header of the IoT packet in its header.

2. **OF Aggregate Message**: Multiple UDP Aggregate packets are populated in an array and form the payload of the OF Aggregate Message as shown in figure 4.4. The OF Aggregate Message now forms the payload of the IP packet in the aggregated message.

When an aggregator receives IoT packets for aggregation, it stores them in the aggregate buffer. These packets are then sent to an aggregator function, where the following steps are carried out:

1. The UDP packet of every IoT packet is extracted and encapsulated into a new UDP Aggregate message. The source address from the IP header of every packet is extracted and populated into the UDP Aggregate Message. The destination address of every individual packet in the aggregated packet is the same.

2. All the UDP Aggregate Messages are now added to the OF Aggregate Message array which forms the payload of the IP packet.

3. The Ethernet and IP headers of the frame are then populated with the values of the aggregator switch and the packet is transmitted over the data plane.

### 4.5.3 Design Choices

Aggregating the individual IoT packets over Ethernet, IP and UDP protocol were considered, and aggregation over UDP was found to be the most efficient. In this
scenario, not only the overhead for Ethernet and IP header were eliminated, but the source and destination port values, length of the UDP packet and checksum information was retained from the UDP header; thus packets targeted to different applications in a destination could be combined together. Eliminating the IP header removes $N \times 20$ bytes of data but also adds an overhead of $N \times 6$ bytes to the aggregated packet where $N$ is the aggregation factor, as we have to preserve the source IP addresses of the individual packets.

### 4.6 System Flow

![Figure 4.5: Packet Flow](image)

Figure 4.5 depicts the flow of packets in the end-to-end system consisting of aggregator, deaggregator, controller, IoT devices, servers, and access network.

1. **IoT Devices**: Once the network is initialised, the IoT devices start transmitting IoT packets. These packets are transported along the data path and enter the
message queue of the aggregator switch located at the boundary of the edge network and the access network. In this project, IoT packets are considered UDP packets as it is a lightweight protocol and does not send acknowledgment packets over the network, thus increasing the lifetime of IoT devices and the network. UDP is a connectionless protocol which transmits data by sending datagrams. The order of messages sent may or may not be retained at the receiving end.

2. **Aggregator Switch**: The aggregator switch has three core functionalities: Process Message, Aggregate Packets and Send Packets. It also has a packet buffer, aggregate buffer, flow table, and message queue. The order of packet processing in an aggregator is as follows:

   (a) **Process Message**: The process message function processes the packets from the message queue in order. It fetches the packets from the message queue, checks if it is a non-aggregated data packet, an aggregated data packet or a control plane packet. In the figure, only the non-aggregated dataplane flow is depicted for brevity. The complete implementation of aggregator is discussed in section 5.3. A non-aggregated data packet is forwarded to the aggregate packets module for further processing.

   (b) **Aggregate Packets and Aggregate Buffer**: Aggregate packets module stores the incoming non-aggregated data plane packets in an aggregate buffer. The aggregate buffer stores the packets in a list for every destination. Once the size of the buffer reaches the aggregation factor for a particular destination or the length of the packets in the buffer reaches the threshold, aggregation is performed. This threshold value is calculated using the below formula:

   \[
   \text{Threshold} = \text{Max size of Ethernet Frame}(1522\text{B}) - \text{length of Ethernet header} - \text{Length of IPv4 header} - \text{Aggregation factor}(N) \times \text{size of Source IPv4 address}
   \]

   In the process of aggregation, the module fetches \(N\) (Aggregation factor: Number of packets to be aggregated) packets from the buffer for a particular destination, extracts the UDP packets and source IPv4 address from every packet and populates these values into \(N\) UDP Aggregate Messages. These \(N\) UDP Aggregate packets are put in an array and encapsulated in an OF
Aggregate packet. This packet is further encapsulated in an IPv4 packet and an Ethernet frame and forwarded to the send packets module.

(c) Send Packets: This module receives an aggregated packet from the aggregate packets module and extracts the matching criteria for the flow table. These matching criteria are used by the send packets module as the look-up key to fetch the corresponding action from the flow table. In case there is a hit in the flow table, the packet is forwarded on the output port in the action fetched from the flow table. Else, the send packets module sends a Packet-In Message to the controller querying about the route for the packet. The packet can be temporarily stored in a packet buffer, and the buffer ID along with the look-up key can be sent inside the Packet-In Message. The controller responds with a Packet-Out Message which contains the action path to be executed for the packet. The send packets module then take the corresponding action and forwards the packet accordingly. These actions can include drop the packet, flood the packet or forward the packet to a specific port number. The controller also sends Flow Mod Message to the aggregator to update the flow table for a missing entry for future purpose.

3. Access Network: The access network can receive both aggregated and non-aggregated data packets and route them to their destination. It consists of programmable OpenFlow switches (which do not perform aggregation) and non-programmable switches. These devices are capable of identifying both aggregated and non-aggregated data plane packets and route them to their destination. Thus, upon aggregation, the number of packets flowing through the access network reduces and the lifetime of access network increases.

4. Deaggregator: A deaggregator switch is located at the boundary of the access network and the cloud. The messages enter the message queue and are processed by the process message module in order. The order of operations in a deaggregator switch are:

   (a) Process Message: The process message module fetches the packets from the message queue, and checks if it is a non-aggregated data packet, an aggregated data packet or a control plane packet. In the figure, only the
aggregated data plane packet flow is depicted for brevity. The complete implementation of deaggregator is discussed in section 5.4. An aggregated data packet is forwarded to the deaggregate module for further processing.

(b) Deaggregate Packets: This module on receiving an aggregated packet, fetches the OF Aggregate packet and its array size from the IP packet payload. It constructs the IoT packets from the source IPv4 address and the UDP packet data from the UDP Aggregate array. These packets are then forwarded to the send packets module.

(c) Send Packets: The send packets module is the same as aggregator send packets module. It forwards the IoT packets to the servers or the cloud as per the action specified by the controller. The cloud now receives the same number of IoT packets as sent by the IoT sources.

4.7 Summary

The network architecture described in section 4.1 can be summarised into the following network components:

- Clients - IoT devices
- Aggregator
- Deaggregator
- OF Switch - OpenFlow Switches or Access Layer Switch
- OpenFlow Controller
- Servers - Cloud/ Sink for packets

The aggregator and the deaggregator form the core modules of the design for this thesis. Apart from aggregate functionality, a new aggregate buffer is introduced in the aggregator which stores packets based on the destination address.

To achieve communication in software-defined IoT network which supports aggregation, two new packets were introduced as described in section 4.5.
1. UDP Aggregate

2. OF Aggregate

The detailed end-to-end communication with the flow of packets in the network is also described to get a complete understanding of the system.
Chapter 5

Implementation

This chapter discusses the implementation details of the proposed design. First, it focuses on the different simulator choices available, their pros and cons, and which was suitable for this project. The next section would talk about the packages used, setup details and other environment parameters relevant to the development of the code. Later, it presents the implementation details of the aggregator switch, deaggregator switch and the new messages introduced as a part of this thesis.

5.1 Simulator Choices

This section focuses on different simulator choices that were considered for this project and which one was found to be most suitable for development and experiments.

5.1.1 OMNeT++

OMNeT++ is a discrete event simulator with component-based C++ framework for network simulations. It is a modular platform and is easily extensible. It was developed for development, research, testing and debugging at academic institutions. It is based on the Eclipse platform which provides IDE features for editing files and has an object-oriented design. It provides the architecture to write components to write simulation models. A model can be simple or compound which can be formed using reusable components called modules. Simple modules are written in C++, whereas one or more simple models can be used to create compound modules. Four types of OMNeT++
files are used for this thesis: network description (NED) files, initialisation (ini) files, .cc files and .msg files.

1. NED files: NED files are used to declare simulation models. It can be composed of simple or complex modules. OMNeT++ offers two views for NED files, using GUI and using NED language, thus offering a user-friendly interface. One or more simple modules can be used to build compound modules which can also be referred to as networks. NED can also be used to define channels with parameters such as type, data rate.

2. Initialisation files: The .ini are initialisation files to run configurations for a particular network. Similar to NED files, the ini files have two modes, Form and Source, which are the GUI and the CLI modes respectively and can be used to configure the same content.

3. Message files: The .msg files are used to configure the messages exchanged, in a network.

4. C++ files: Functionalities of simple and complex modules are implemented in a C++ file.

OMNeT++ has support for GUI for both monitoring and configuration. It is a discrete event simulator which gives a higher performance at scale as compared to Mininet. OMNeT++ has a large and active community of developers and researchers which also indicates its extensive usage by academics.

5.1.2 NS-3

Network Simulator 3 (NS-3) is also a discrete event simulator mainly used for research and development. The development in NS-3 is done in C++. It has GUI support for monitoring and only CLI support for configuration. Scale scenarios are supported in NS-3 framework as compared to Mininet. NS-3 is a modular framework which is highly extensible as well written entirely in C++ with Python scripting interface[33].

Officially NS-3 provides inbuilt support for OpenFlow version 0.8.9 and support for version 1.3.0 is still under development. Though NS-3 satisfies the conditions of this project in all the other aspects, the lack of support for newer versions of OpenFlow
and only CLI support for configuration were the main reasons for not going ahead with NS-3.

5.1.3 Mininet

Mininet is a network emulator which supports OpenFlow standard. Mininet uses a shell process to emulate a network of virtual hosts, switches and controllers based on Linux software. Thus, the number of network elements created by Mininet does not scale well as compared to NS3 or OMNeT++. These devices support OpenFlow routing.

Mininet supports complete end-to-end development, research, testing and debugging of a network using Python. The main features of Mininet which set it apart from other simulators are:

1. Mininet is an emulator which creates a network of machines running Linux software.

2. Mininet does not support simulation of network devices.

3. Mininet lacks GUI support for configuration and provides GUI support only for monitoring.

4. It supports the OpenFlow version 1.3 implementation.

This project required a simulator that could handle a large number of devices and efficiency at scale. Thus, Mininet could not provide the same as compared to NS3 and OMNeT++. Also, lack of a proper interface for configuration and only CLI support using Python were other reasons to move ahead with OMNeT++. Being an emulation tool allowing to migrate codes to the production network[34].

5.2 Setup Environment

This section discusses the choices available for the operating system and the installation steps of OMNeT++ and other additional packages used for this research.
5.2.1 Operating System

OMNeT++ supports Windows, OS X and Linux distributions. However, OMNeT++ and INET developers claimed to observe better performance and ease of usage for OMNeT++ on Ubuntu as compared to Windows on community forums. Thus, Ubuntu version 16.04.6 version of operating system(OS) was selected for installation of the setup. Hence, the windows machine was dual partitioned for Linux, instead of virtualization as for large scale networks, a standalone installation was recommended.

5.2.2 OMNeT++

OMNeT++ 5.4.1 was the latest version available during the start of this project. This version was released in June 2018. Thus, this version was selected for the research.

5.2.3 INET Framework

INET is an open-source library built for OMNeT++ framework. This package is used along with OpenFlow package as it provides models for protocols and agents for both wireless and wired scenarios. These protocols and agents communicate through messages. They can be combined to form routers, switches and other network elements which can be modified as well. In this project, network elements, channels and messages provided by INET framework were used. INET version 3.6.5 was used for the same.

5.2.4 OpenFlow

Officially OpenFlow version 1.3 is supported by OMNeT++. However, a partial implementation of OpenFlow is available on GitHub link [32]. This is the latest implementation of OpenFlow available on the OMNeT++ 5.4 with INET framework 3.6.5. This code repository is maintained by Rudolf Hornig who is a member of the INET core team and OMNeT++ developer. This has only fifteen OpenFlow messages implemented, but it includes all the core functionalities and hence, this version of OpenFlow was selected for this thesis.
5.3 Aggregator

Figure 5.1: Process Flow of an Aggregator Switch
• **Initialise:** This is the first step in the aggregator switch all the variables and the ports of the switch are initialised. The aggregate variable is set to true. Sockets are initialised to establish a TCP connection with the controller.

• **Handle Message:** Handle message function is called when a new packet comes into the switch. If the message type is CONNECT, then the connect function is called, which establishes a TCP connection with the controller. For other messages from control plane or data plane, it is pushed into the queue to be serviced in order.

• **Process Queued Message:** In this process, the arriving message is checked for a control packet or a data packet. A data packet is forwarded to frame process. In case of a control packet, one of the below actions is taken:

1. **Handle Feature Request Message:** A Feature Request Message is forwarded to a handle feature request process. Here, the aggregator switch constructs a Feature Response Message which consists of various state configuration parameters of the switch. This reply is then sent to the controller by the process.

2. **Handle Flow Mod Message:** A Flow Mod message is directed to this process, which extracts the flow entry from the Flow Mod Message and adds it to its flow table.

3. **Handle Packet-Out Message:** This process examines the received Packet-Out Message and performs one of the following action on the packet depending on the contents of the output port of the Packet-Out message: drop, flood or forward the packet out on the output port.

• **Process Frame:** The process frame function extracts the lookup key for the flow table and checks if the particular match is found. If the match is a miss, the packet is forwarded to the handle mismatched packet function, which sends the packet to the controller using a Packet-In Message. In case a match is found in the flow table, the packet is sent out through the output port if it is an aggregated packet. Else, the non-aggregated packet is forwarded to the process aggregated frame function.
• **Process Aggregated Frame:** The process aggregated frame firstly checks if the length of the packets in the buffer towards a destination is greater than the threshold value. This threshold value is calculated using the below formula:

\[
\text{Threshold} = \text{Max size of Ethernet Frame (1522B)} - \text{length of Ethernet header} - \text{Length of IPv4 header} - \text{Aggregation factor (N) * size of Source IPv4 address.}
\]

If true, the create aggregated frame is called, else the packet is stored in the aggregate buffer. Next, if the size of the buffer equals the aggregate factor, the packet is forwarded to the create aggregated packet module.

• **Create Aggregated Packet:** Firstly, all the packets towards the destination are extracted from the aggregate buffer. The source IPv4 address of every packet is packaged together with the corresponding UDP packet into a UDP Aggregate packet. N (aggregation factor) UDP Aggregate packets are then populated into an array of the OF Aggregate packet. An Ethernet frame header and IPv4 header with the aggregate switch details are added to the OF Aggregate packet, and this aggregated packet is added to the message queue for processing.

### 5.4 Deaggregator

The initialise, handle the message, process queued message, handle flow mod message, handle the packet-out message, handle feature request message modules are similar in both aggregator and deaggregator.

• **Process Frame and Handle Deaggregated Packet:** This module first checks if it has received an aggregated frame. If no, then it extracts the matching criteria from the received packet, looks-up the criteria in the flow table. For a successful lookup, it forwards the packet through the output port; else it sends the packet to the controller to handle the mismatched packet. For an aggregated frame, it is sent to the handle deaggregated frame module, where the individual packets are generated by populating the IPv4 headers with the corresponding source
addresses from the UDP Aggregate packets. These individual packets are sent to the process frame module for further processing.

Figure 5.2: Process Flow of a Deaggregator Switch
5.5 Messages

Additional functionalities have been added to two existing messages, and two new messages have been introduced during the execution of this project.

5.5.1 Feature Reply Message

```c
packet OFP_Features_Reply extends Open_Flow_Message
{
    string datapath_id; // Datapath unique ID. The lower 48 bits are for a MAC address,
                        // while the upper 16 bits are implementer-defined.
    MACAddress address; // Max packets buffered at once.
    uint32_t n_buffers;  // Number of tables supported by datapath.
    uint8_t n_tables;
    //Features
    uint32_t capabilities; // Bitmap of support "ofp capabilities".
    uint32_t reserved;
    //Port info
    uint32_t ports[]; //Port definitions. The number of ports is inferred from the
                      // length field in the header.
    bool aggregate;   // Aggregate flag
}
```

Figure 5.3: Feature Reply Message

A flag is added to the Feature Reply Message to store the aggregation properties of the switch. This flag is set to false in access layer OpenFlow switches and the deaggregator switch. It is set to true in the aggregator switch.
5.5.2 Flow Mod Message

```c
packet OFP_Flow_Mod extends Open_Flow_Message {

// Flow actions.
uint8_t table_id; // ID of the table to put the flow in.
// For OFPPC_DELETE_* commands, OFPTT_ALL
// can also be used to delete matching
// flows from all tables.
uint8_t command; // One of OFPFC_*.
uint16_t idle_timeout; // Idle time before discarding (seconds).
uint16_t hard_timeout; // Max time before discarding (seconds).
uint16_t priority; // Priority level of flow entry.
uint32_t buffer_id; // Buffered packet to apply to, or
// OFP_NO_BUFFER.
// Not meaningful for OFPPC_DELETE_*.
uint32_t out_port; // For OFPPC_DELETE_* commands, require
// matching entries to include this as an
// output port. A value of OFPP_ANY
// indicates no restriction. */
uint32_t out_group; // For OFPPC_DELETE_* commands, require
// matching entries to include this as an
// output group. A value of OFPG_ANY
// indicates no restriction.

uint16_t flags; // One of OFPF_*.
uint8_t pad[2];
OXm basic match match; // Fields to match. Variable size.
ofp_action_output actions[];
uint16_t aggregateFactor;
// struct ofp_instruction instructions[0]; // Instruction set
```

Figure 5.4: Flow Mod Message

A Flow Mod Message is sent by the controller to the switch to update or insert a match action entry in the flow table. The requirements for aggregation such as aggregation time or the number of packets to be aggregated (aggregation factor) is sent in this message. In the current implementation, the aggregation factor is communicated by the controller, as shown in figure 5.4.

5.5.3 UDP Aggregate Message

This is a new message introduced in the aggregated packet. This packet packages the source IPv4 address together with the UDP packet of the IoT message. This message
Figure 5.5: UDP Aggregate Message

is an extension to the existing cPacket message which contains common attributes such as length of the packet, the pointer to the encapsulated packet.

5.5.4 OF Aggregate Message

Figure 5.6: OF Aggregate Message

An array of UDP Aggregate Messages are encapsulated into an OF Aggregate Message. This is further encapsulated in the IP payload of the aggregated packet. This message is also an extension of the existing cPacket message which contains common attributes such as length of the packet, a pointer to the encapsulated packet.

5.6 Source Code

The code-base for this dissertation is available at the below link: https://github.com/mandashilpa26/OpenFlow_Aggregation_IoT.git

The steps for installation and running the code are provided in README.md in the repository itself.

5.7 Summary

Table 5.1 summarises the network simulator choices available for this thesis. OMNeT++ was selected as it was found to be most suitable. Complete process flow with
GUI support for both monitoring and configuration | GUI only for monitoring | GUI only for monitoring
---|---|---
Supports simulation | Supports simulation | Supports emulation
OpenFlow version supported 1.3 | OpenFlow version supported 0.89
Support for 1.3 under development | OpenFlow version supported 1.3

Table 5.1: Comparison of Network Simulators

implementation is explained using flowcharts for aggregator and deaggregator. Furthermore, enhancements to the existing Flow Mod and Feature Request Messages were made in addition to the introduction of Udp Aggregate and OF Aggregate Messages.
Chapter 6

Results and Evaluation

This chapter starts with a discussion of the experimental setup used for this project. It then talks about two different categories of measurements made: access network traffic measurements and latency measurements. In access network traffic measurements, we measure the percentage of reduced packets in the access layer for different packet frequencies for multiple source and destination. Whereas in latency measurements, we measure the maximum, minimum and mean latency values for packets. The latency values are an indication of the amount of time a packet spends in the aggregate buffer before being aggregated and forwarded. In the end, a detailed discussion and evaluation of the measurements are made.

6.1 Setup and Measurements

Figure 4.1 shows the network architecture of the setup used for this dissertation to perform the experiments. Initially, an exhaustive list of test cases and the nature of measurements to be made were identified. Two categories of measurements were made as a part of the assessment:

- Case 1: The percentage of packet reduction in the access network after aggregation of data to non-aggregated data.

- Case 2: The maximum, minimum and mean latency values for packets which give an indication of the amount of time a packet will be stored in the aggregated buffer, before it gets aggregated.
<table>
<thead>
<tr>
<th>Packet sizes (B)</th>
<th>Aggregation factor</th>
<th>Frequencies (s)</th>
<th>Number of Sources</th>
<th>Number of Destinations</th>
</tr>
</thead>
<tbody>
<tr>
<td>64</td>
<td>1</td>
<td>0.0001</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>128</td>
<td>5</td>
<td>0.001</td>
<td>10</td>
<td>2</td>
</tr>
<tr>
<td>256</td>
<td>10</td>
<td>0.01</td>
<td>30</td>
<td>5</td>
</tr>
<tr>
<td>512</td>
<td>15</td>
<td>0.1</td>
<td>50</td>
<td>7</td>
</tr>
<tr>
<td>1024</td>
<td>20</td>
<td>1</td>
<td>100</td>
<td>10</td>
</tr>
</tbody>
</table>

Table 6.1: Parameters Considered to Generate Exhaustive List of Test Cases

These two measurements together help to analyse the trade-offs of the benefits of packet reduction and latency and help us understand the value aggregation adds to an IoT network.

Approximately 3000 test cases each for case 1 and case 2 were identified for complete testing. This included five packet sizes and five packet frequencies, with different values of source, destination and aggregation factors. Table 6.1 lists the set of parameters considered under every column to generate the complete test case suite.

After careful analysis, 73 test cases for case 1 and 20 test cases for case 2 were selected for execution for this project. The process of testing was divided into 3 phases: start-up phase, stable phase and close-off phase.

- **Start-up Phase:** This constituted five per cent of the simulation time during which the controller and switches get initialised. It is during this phase that the controller learns about the network components in the forwarding plane and the device plane. The following steps are carried out during the initialisation phase:

  1. ARP (address resolution protocol) packets are exchanged to learn about the network devices at every layer.
  2. TCP connection is established between the controller and OpenFlow switches, aggregator and deaggregator.
  3. Packet-In and Packet-Out Messages are sent for every missed packet, and the forwarding plane learns the flow entries in their match action tables.

- **Stable Phase:** This phase constituted 90 per cent of the simulation time, and it is during this phase that all the measurements were taken. All the routes are
learnt by the forwarding plane during this time. The ARP table gets flushed out every 120s during the experiments, and the ARP resolution process repeats after every 120s during the stable phase as well.

- Close-off Phase: This phase makes up 5 per cent of the simulation time. The measurements made during this phase were discarded as well. These phases are shown in the figure 6.1.

![Figure 6.1: Phases of Testing](image)

Due to time constraints for the dissertation, only 73 and 20 test cases were selected from case 1 an case 2, respectively. These measurements were made under the following conditions:

- All measurements were made with packet size 64B.
- All measurements were made with an aggregation factor 5.
- All measurements were made only during the stable phase for accuracy. In a real network, initialisation and close-off phase occur only once, and stable phase accounts for 90 per cent of the network time. Thus, measurements made during this phase would provide accurate analysis.
6.2 Case 1: Access Network Traffic Measurements

This section describes the experiments for case 1 where we measure the percentage of reduction in network traffic against five frequency values: 0.0001s, 0.001s, 0.01s, 0.1s and 1s for the different number of sources and destinations. The graphs depict the percentage of packets reduced in the access layer for an aggregated scenario with packet size 64B and aggregation factor of 5.

6.2.1 Number of Destinations - 1

![Graph showing packet reduction](image)

Figure 6.2: Observations: 79.9 percent packet reduction in access layer for 1s frequency

The graphs 6.2, 6.3 and 6.4 depict the results for one destination with source numbers, 10, 30 and 50 respectively. For multiple sources and one destination, nearly 79.9 per cent reduction in packets in the access layer is observed at a frequency of 1s, where 80 per cent would be ideal. From this, we can say that once the network reaches the stable phase, nearly all the packets are aggregated and sent. At frequency 0.1ms, the percentage of reduced packets is slightly lower than 1s. At frequencies 1ms and 10ms, a slight dip in the graph is seen in all the three scenarios.
Figure 6.3: Observations: 79.94 percent packet reduction in access layer for 1s frequency

Figure 6.4: Observations: 79.92 percent packet reduction in access layer for 1s frequency

6.2.2 Number of Destinations - 2

Figures 6.5 and 6.6 show the results for two destinations with 10 and 30 sources respectively. The network was set up with two UDP applications per source, each application communicating with one destination. All the parameters had the same values for both the applications and differed only in their destination address. The percentage of aggregated packets was observed to drop slightly with an increase in the number of destinations for all frequencies.
6.2.3 Number of Destinations - 5

Figure 6.7 shows the results for five destinations. The network was set up with 50 sources, each running one UDP application, and ten sources communicate to one destination. This is closer to a real-world scenario. In this case, the percentage of aggregated packets in the access network was lower than one and two destinations. However, the number of packets aggregated is observed to be more at higher frequencies than at lower frequencies.
Figure 6.7: Observation: percent of packets reduced in access layer is greater for 1 and 2 destinations than 5 destinations for same number of sources

For all the scenarios mentioned above, the simulation time for the experiments was chosen depending on the frequency of packets and number of sources and destination. During the initial phase, ARP packets are exchanged between the network elements to learn about their MAC addresses. These entries are stored in an ARP table in every network component which gets flushed out periodically. The ARP cache timeout values were left to default at 120s. Hence, unused entries were flushed out every 120s. During this time, a few UDP packets were transmitted without aggregation.

6.3 Case 2: Latency Measurements

This section shows the results for case 2 experiments. A total of 20 test cases were performed for this scenario. The objective of these experiments was to analyse the latency values of packets in the aggregate buffer before the aggregation takes place. Aggregation of packets adds a latency factor as the packets that have arrived before have to wait till the size of the aggregation buffer is equal to \(N\) (aggregation factor: number of packets to be aggregated). These latency values are dependent upon:

- Number of sources and destinations
- Frequency of incoming packets at the aggregator switch
• Aggregation factor or the number of packets to be aggregated

The results are shown in the form of graphs with Y axis representing the latency values expressed in microseconds and X axis representing the number of sources multiplied by the frequency of packets. Five frequencies of packets are considered: 0.1ms, 1ms, 10ms, 100ms and 1s. All graphs represent the minimum, mean and the maximum values of latency observed.

6.3.1 Number of Destinations - 1

Figure 6.8: Observation: The maximum latency is (N-1) times the frequency except corner cases at 0.1s and 1s

Graph 6.8 represents latency values of the packet for one source and one destination. The maximum latency values were observed four times the frequency of packets as the aggregation factor is 5, and every first packet has to wait for 4 more packets to be aggregated. However, the maximum value was 5 times for 100ms and 1s frequency, and this was seen only for one packet so it could be considered as a corner case.

Figure 6.9 depicts the latency values for 10 sources and one destination. In this test, 10 sources simultaneously transmit UDP packets to one destination. As the aggregation factor was configured to be 5, 10 packets coming simultaneously to the aggregator were aggregated into 2 aggregated packets and transmitted without any
Figure 6.9: Observation: The maximum latency is of the order of frequency latency. Thus, for 0.1ms, the maximum and mean latency was observed to be 0. However, for higher frequencies, maximum latency equivalent to their respective frequency was seen depending on when the aggregation started or the number of packets which were buffered during the start-up phase. A slight increase in the maximum latencies can be attributed to ARP delays. The mean values are in the range of 10 to 20 per cent of the maximum value attributing to very few packets showing maximum latency values.

6.3.2 Number of Destinations - 2

Figure 6.10 shows the latency values for one source and two destinations. A single source runs 2 UDP applications, and each application communicates to one destination. The maximum latency values are nearly four times the frequency of transmitted packets as the first packet has to wait for N packets for a particular destination for the aggregation to take place. The value of N for experiments was fixed to 5, as mentioned earlier. For frequencies 0.01s and 0.1s, one packet each had a maximum value of latency 5 times the frequency and can be considered as a corner case. The mean values in all the cases were approximately half of the maximum value.

Figure 6.11 depicts the latency values for 10 sources sending packets towards 2 destinations. All sources run 2 UDP applications each configured to have a different destination address. The maximum latency values were observed to be of the order
Figure 6.10: Observation: The maximum latency is (N-1) times the frequency except two cases owing to ARP cache timeout delays of frequency for frequencies 1ms, 10ms and 100ms. However, for 0.1ms and 1s, the maximum values were four times (N-1 times) the frequency of packets. These high values were observed for 10 packets each during the ARP learning process after cache timeout. The mean latency values are, on the other hand, very low compared to maximum values.

Figure 6.11: Observation: The maximum latency is of the order of frequency owing to ARP delays observed intermittently
6.4 Discussion

Graphs 6.12 and 6.13 represent a comparison of Mean latency values for 1 source and 10 sources respectively. For a single source, the mean latency values marginally differ for both one and two destinations for all values of frequencies.

**Figure 6.12:** Comparison of Mean Latency(us) for 1 source

**Figure 6.13:** Comparison of Mean Latency(us) for 10 sources

For ten sources, the mean latency values are higher for two destination network
than for a single destination network. This can be attributed to the reason that a two destination network takes a long time to learn the mac addresses than a single destination network, owing to no aggregated packets exchanged during that period. However, this behaviour was not observed to be consistent and is also dependent on the configured ARP cache timeout values.

The following conclusions are drawn from the test cases for this thesis:

- The percentage of aggregated packets in the access layer is observed to reduce with an increase in the number of destinations. This is owing to the increasing time for ARP resolution, during which packets were observed to be transmitted without aggregation.

- The mean latency values for ten source and two destinations are found to be higher than ten source one destination, owning to ARP learning times.
Chapter 7

Conclusion and Future Works

This chapter lists two use cases where this research can find immediate use in the real world. Final remarks on this project are then listed in the conclusion section of this chapter. Lastly, the future works section talks about how this research can be further extended and tested to benefit the world of IoT.

7.1 Use Cases

This section talks about two different scenarios where this dissertation can help to reduce the number of packets generated by IoT devices and increase the efficiency of the network.

7.1.1 Irish Water Meters

Irish Water has installed nearly 755,000 water meters across the country to read water supply values in Irish households. It measures the volume of water that goes into a home in cubic meters. These meters support Automatic Meter Reading (AMR) technology to get the readings and use a drive-by method to record the readings once every three months. Using this system, a vehicle containing a receiver unit passes by the houses and records the water meter recordings using wireless methods without opening the water meter box where the water meters act as the transmitters.

In this use case, readings from 755,000 meters create a large amount of traffic in the access network. The data generated by meters is a float value, and hence the payload of
these packets is minimal, and the overhead of headers is high. These packets are all sent to the same destination or the Irish Water office. Thus, the packets from the meters can be sent to an aggregator located at the edge network. This switch can aggregate the traffic based on the destination which can not only help to reduce the amount of traffic generated by the access networks but also avoid the drive-by mechanism used to collect readings in the current scenario.

7.1.2 Applied to TCD’s Campus

This research can also be applied to any college or office campus such as Trinity College Dublin (TCD), which stores its IoT data on the cloud like Google Cloud or AWS (Amazon Web Services). Campuses usually deploy many wireless battery powered sensors which generate data at high frequencies (order of few seconds or minutes). Due to scale deployments, these sensors would generate large amounts of data. Thus, an aggregator can be installed at the boundary of the TCD network and the access network. This access network contains around three to four hops, for example, packets flow through HEAnet (Ireland’s National Education and Research Network) and INEX (Internet Neutral Exchange Association Ltd) to a POP (point of presence) switch where a deaggregator can be installed. The deaggregated packets can now be routed to the final destination, which is the cloud.

7.2 Conclusion

This dissertation aimed at integrating support for packet aggregation in OpenFlow in an IoT network, and analyse its impact on the traffic flowing through the access network. Through this thesis, we targeted to solve the issue of scale in IoT network by utilising the principles of software-defined networking with the aggregation of data. Below is the list of major contributions and benefits of this research:

- Extend OpenFlow to support aggregation of packets based on flows. In our case, the destination address was used. One of the major goals of this project was to achieve aggregation of heterogeneous data having small payload values destined to the same address which was successfully achieved.
- Extend OpenFlow switch to perform both aggregation and deaggregation of packets at the boundary of edge network and access network, to minimise the number of packets in the access network and increase the lifetime of the network components. This step was executed as well.

- Analyse the behaviour of the network traffic in both aggregated and non-aggregated scenarios and study the trade-offs of implementing aggregation in an IoT network. For this step, two features: percentage of reduction of traffic in the access network in aggregated to non-aggregated scenario and latency of packets due to buffering in the aggregator were studied.

The percentage of packets reduced was as high as 79 per cent for a single destination system at 1s frequency. This value of polling interval is closest in terms of granularity to the real world. A marginal reduction in the percentage of aggregated packets was also observed at a higher frequency of packets. Regarding latency analysis, maximum latency values were observed to be \( N(\text{aggregation factor}) \) times the frequency of packets for a single-sourced system whereas it was of the order of frequency of packets for a 10 sourced system.

### 7.3 Future Works

As a part of future works, the following enhancements can be made to this research to gain an in-depth understanding of the behaviour of IoT traffic in access network in an OpenFlow enabled programmable network which supports aggregation:

- Currently, the aggregator switch performs aggregation based on the length of the buffered packets in the aggregate buffer or the aggregation factor\((N)\) as communicated by the controller during the initial setup phase. This functionality of the aggregator can be extended to incorporate aggregation based on a timer as well, to avoid delays or packet drops due to an insufficient number of packets.

- As a part of this research, only 73 and 20 test cases were executed to examine the percentage of packet reduction in the access network and packet latency at the aggregator, respectively. This only forms a fraction of the number of test cases identified. Thus, thorough testing of the complete network with all the packet
sizes and aggregation factors list in table 6.1 should be performed to get a better insight into the results.

- In addition to the parameters considered in table 6.1, scaled networks with greater sources and destination in the order of real-world networks should be tested as well.

- Networks with multiple OpenFlow controllers and access network containing multiple network components with different routes to the destination should be tested for both aggregated and non-aggregated scenarios.

- This project only supports the transmission of data from source to destination. Thus, it can be further extended to support scenarios for bidirectional and multidirectional communication.

- In addition to this, the project supports only uniform aggregation mechanism, and cannot be applied to scenarios which require a real-time transfer of data. Thus, the extension of this project to support selective aggregation to handle both real-time and uniform aggregation applications.
Bibliography


## Appendix

### Figure 1: List of Abbreviations

<table>
<thead>
<tr>
<th>Abbreviations</th>
<th>Expansion</th>
</tr>
</thead>
<tbody>
<tr>
<td>SDN</td>
<td>Software Defined Network</td>
</tr>
<tr>
<td>IoT</td>
<td>Internet of Things</td>
</tr>
<tr>
<td>P4</td>
<td>Programming Protocol-Independent Packet Processors</td>
</tr>
<tr>
<td>OF</td>
<td>OpenFlow</td>
</tr>
<tr>
<td>SD-WAN</td>
<td>Software Defined Wide Area Network</td>
</tr>
<tr>
<td>LLN</td>
<td>Low Power and Lossy Networks</td>
</tr>
<tr>
<td>IP</td>
<td>Internet Protocol</td>
</tr>
<tr>
<td>UDP</td>
<td>User Datagram Protocol</td>
</tr>
<tr>
<td>TCP</td>
<td>Transport Control Protocol</td>
</tr>
<tr>
<td>ARP</td>
<td>Address Resolution Protocol</td>
</tr>
<tr>
<td>DTLS</td>
<td>Datagram Transport Layer Security</td>
</tr>
<tr>
<td>TLS</td>
<td>Transport Layer Security</td>
</tr>
<tr>
<td>OMP</td>
<td>Overlay Management Protocol</td>
</tr>
</tbody>
</table>