

A Theory of System Behaviour in the Presence of Node and Link Failures (Extended Abstract)

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Abstract. We develop a behavioural theory of distributed programs in the presence of failures such as nodes crashing and links breaking. The framework we use is that of $D\pi$, a language in which located processes, or agents, may migrate between dynamically created locations. In our extended framework, these processes run on a distributed network, in which individual nodes may crash in fail-stop fashion or the links between these nodes may become permanently broken. The original language, $D\pi$, is also extended by a ping construct for detecting and reacting to these failures.

We define a bisimulation equivalence between these systems, based on labelled actions which record, in addition to the effect actions have on the processes, the effect on the actual state of the underlying network and the view of this state known to observers. We prove that the equivalence is *fully abstract*, in the sense that two systems will be differentiated if and only if, in some sense, there is a computational context, consisting of a surrounding network and an observer, which can see the difference.

1 Introduction

It is generally accepted that *partial failures* are one of the principal factors precluding location transparency in distributed settings such as *wide-area networks*, [4], large computational infrastructures which may even span the globe. Because of this, various *location-aware* calculi and programming languages have arisen in the literature to model the behaviour of distributed programs in the presence of failures, and to study the correctness of algorithms in such a setting. The purpose of this paper is to:

- invent a simple framework, a distributed process calculus, for describing computations over a distributed network in which individual *nodes* and *links* between the nodes are subject to failure
- use this framework to develop a behavioural theory of distributed systems in which these failures are taken into account.

Our point of departure is $D\pi$ [12], a simple distributed version of the standard π -calculus [16], where the locations that host processes model closely physical network nodes. Ignoring the type system developed for $D\pi$, which is orthogonal to the issues addressed

here, we consider the following three $D\pi$ abstract server implementations as motivation:

$$\begin{aligned}
\text{server} &\Leftarrow (v \text{ data}) \left(l \llbracket \text{req?}(x, y). \text{data!}\langle x, y \rangle \rrbracket \right. \\
&\quad \left. | l \llbracket \text{data?}(x, y). y! \langle f(x) \rangle \rrbracket \right) \\
\text{servD} &\Leftarrow (v \text{ data}) \left(l \llbracket \text{req?}(x, y). \text{go } k_1. \text{data!}\langle x, y \rangle \rrbracket \right. \\
&\quad \left. | k_1 \llbracket \text{data?}(x, y). \text{go } l. y! \langle f(x) \rangle \rrbracket \right) \\
\text{servD2Rt} &\Leftarrow (v \text{ data}) \left(l \llbracket \text{req?}(x, y). (\text{vsync} \left(\begin{array}{l} \text{go } k_1. \text{data!}\langle x, \text{sync} \rangle \\ | \text{go } k_2. \text{go } k_1. \text{data!}\langle x, \text{sync} \rangle \\ | \text{synch?}(x, y)! \langle x \rangle \end{array} \right)) \rrbracket \right. \\
&\quad \left. | k_1 \llbracket \text{data?}(x, y). \left(\begin{array}{l} \text{go } l. y! \langle f(x) \rangle \\ \text{go } k_2. \text{go } l. y! \langle f(x) \rangle \end{array} \right) \rrbracket \right)
\end{aligned}$$

The three systems `server`, `servD` and `servD2Rt` implement a server that accepts a single request for processing on channel `req` at location `l` with two arguments, `x` being the value to be processed and `y` being the return channel on which to return the result of the processing. A typical client for these servers would have the form $l \llbracket \text{req!}\langle n, \text{ret} \rangle \rrbracket$, sending the name `n` as the value to be looked up and `ret` as the return channel.

Every server forwards the request to an internal database hidden from the client, denoted by the scoped channel `data`, which processes the value using an unspecified function $f(x)$. The three implementations differ by where the internal database is located and how it is handled. More specifically, `server` holds the database *locally* at `l` and carries out all the processing there; by contrast, `servD` and `servD2Rt` distribute the database *remotely* at location `k1`. The latter two server implementations also differ by how the remote database is accessed: `servD` accesses the database using the direct route from `l` to `k1`; `servD2Rt` forwards the service requests along two concurrent routes, that is the direct one from `l` to `k1` and an indirect route using an intermediary node `k2` and non-deterministically selects one of two results if both routes are active. Intuitively, these three server implementations are not equivalent because they exhibit distinct behaviour in a setting with node and link failure. For instance, if node `k1` fails, `servD` and `servD2Rt` may not be able to service a client request whereas `server` would continue to work seamlessly. Moreover, `servD` and `servD2Rt` are also distinct because if the link between `l` and `k1` breaks, `servD` may block and not serve a request while `servD2Rt` would still operate as intended. Despite the fact that these three implementations are qualitatively different, it is hard to distinguish between them in $D\pi$ theories such as [10].

In this paper, we develop a behavioural theory that tells these three systems apart. We use extended $D\pi$ configurations of the form $\Sigma \triangleright N$ where Σ is a representation of the current state of the network, and N consists of the systems such as those we have just seen, software executing in a distributed manner over Σ . Here Σ records the set of nodes in the network, their *status* (whether they are *alive* or *dead*), and their *connectivity* (the set of symmetric links between these nodes). This results in a succinct but expressive framework, in which many of the phenomena associated with practical distributed settings, such as routing algorithms and ad-hoc network discoveries, can be examined.

The corresponding behavioural theory takes the form of (*weak*) *bisimulation equivalence*, based on labelled actions

$$\Sigma \triangleright N \xrightarrow{\mu} \Sigma' \triangleright N' \quad (1)$$

where the label μ represents the manner in which an observer, also running on the network Σ , can interact with the system N . This interaction may not only change the state of the system, to N' , in the usual manner, but also affect the nature of the underlying network. For instance, an observer may extend the network by creating new locations or otherwise induce faults in the network by killing sites or breaking links between sites, thereby capturing, at least, some of the reaction of N to dynamic failures.

It turns out that the definition of the actions in (1) needs to be relatively sophisticated: although the system and the observer may initially share the same view of the underlying network, Σ , interactions quickly give rise to situations in which these views *diverge*. More specifically, observers may learn of new nodes in the system as a result of interaction (scope extrusion), but at the same time, cannot determine the state of such nodes and the code executing at them either because the newly discovered nodes are *completely disconnected* or because the observer does not have enough information to *determine a route* which leads to these nodes. As a result, in (1) above, the network representation Σ needs to somehow record the actual full state of the underlying network, together with the *observer's partial view* of it.

We choose to develop the theory in terms of a representation with nodes and links, despite the widely held view that representation of nodes *only* is sufficient; this would typically entail encoding a link between location l and k as an intermediary node lk , encoding migration from l to k as a two step migration from l to lk and lk to k , and finally encoding link failure as the intermediary node lk failing. A network representation with partial connection between nodes is very natural in itself since WANs are often *not a clique*. The resulting calculus also gives rise to an interesting theory of partial views that deserves to be investigated in its own right. In addition, this setting allows us to study *directly* the interplay between node and link failure and their respective observation from the software's point of view. Finally, it is unlikely that a theory resulting from an encoding into a *nodes only* calculus would be fully abstract, due to the fact that any encoding would typically decomposes atomic reductions such as migration into sub-reductions, which in turn affects the resulting bisimulation equivalence; see [9].

The paper is organised as follows: Section 2 introduces $D\pi F$ and the reduction semantics. In Section 3 we present an initial definition of actions for $D\pi F$, based on the general approach of [11]. The resulting bisimulation equivalence can be used to demonstrate equivalencies between systems, but we show, by a series of examples, that it is too discriminating. In Section 4, we revise the definition of these actions, by abstracting from internal information present in the action labels, and show that the resulting equivalence is *fully abstract* with respect to an intuitive form of *contextual equivalence*. This means that two systems will be differentiated by the bisimulation equivalence if and only if, in some sense, there is a computational context, consisting of a network and an observer, which can see the difference. The complete proofs, elaborate discussions and extensive examples may be found in the corresponding technical report [8].

Table 1. *Syntax of typed D π F*

Types		
$T, U, W ::= \text{ch} \mid \text{loc}_S[C]$	$S ::= a \mid d$	$C, D ::= \{u_1, \dots, u_n\}$
Processes		
$P, Q ::= u!(V).P \mid u?(X).P \mid *u?(X).P \mid \text{if } v=u \text{ then } P \text{ else } Q \mid \mathbf{0} \mid P Q \mid (v n : T)P$		
$\mid \text{go } u.P \mid \text{kill} \mid \text{break } u \mid \text{ping } u.P \text{ else } Q$		
Systems		
$M, N, O ::= \llbracket P \rrbracket \mid N M \mid (v n : T)N$		

2 The language

We assume a set of *variables* VARS , ranged over by x, y, z, \dots and a separate set of *names*, NAMES , ranged over by n, m, \dots , which is divided into locations, Locs , ranged over by l, k, \dots and channels, CHANS , ranged over by a, b, c, \dots . Finally we use u, v, \dots to range over the set of *identifiers*, consisting of either variables and names.

The syntax of $D\pi F$ is given in Figure 1, where the main syntactic category is that of *systems*, ranged over by M, N ; these are essentially a collection of *located processes*, or *agents* $\llbracket P \rrbracket$, but there may also be occurrences of typed *scoped names*, $(v n : T)N$. Although we could employ the full power of the type system for $D\pi$ [10], for simplicity, we use a very simple notion of type and adapt it to the purpose at hand. Thus, if n is used as a channel in N , then T is simply ch ; however if it is a location then $T = \text{loc}_S[C]$ records its *status* S , whether it is alive a or dead d , and the set of locations C to which it is linked, $\{l_1, \dots, l_n\}$.

The syntax for agents, P, Q , is an extension of that in $D\pi$. There are input and output on channels; here V is a tuple of identifiers, and X a tuple of variables, to be interpreted as a pattern. We also have the standard forms of parallel, replicated input, local declarations, a test for equality between identifiers and an asynchronous migration construct. We also introduce a ping conditional construct, $\llbracket \text{ping } k.P \text{ else } Q \rrbracket$, in the style of [2, 1, 15], branching to $\llbracket P \rrbracket$ or $\llbracket Q \rrbracket$ depending on the *accessibility* of k from l . Finally we have two new constructs to simulate failures; $\llbracket \text{kill} \rrbracket$ kills the location l , while $k\llbracket \text{break } l \rrbracket$ breaks the link between l and k , if it exists. We are not really interested in programming with these last two operators. Nevertheless, when we come to consider *contextual behaviour*, their presence will mean that the behaviour will take into account the effects of *dynamic* failures.

In this extended abstract, we will assume the standard notions of *free* and *bound* occurrences of both names and variables, together with the associated concepts of α -conversion and *substitution*. Furthermore, we will assume that all system terms are *closed*, that is they have no free occurrences of variables.

Reduction semantics: This takes the form of a binary relation

$$\Delta \triangleright N \longrightarrow \Delta' \triangleright N' \quad (2)$$

where Δ and Δ' are representations of the state of the network. Intuitively this must record the set of locations in existence, whether they are alive or dead, and any live links between them.

Definition 1 (Network representation). We first introduce some notation to represent the links in a network. A binary relation \mathcal{L} over locations is called a linkset if it is:

- symmetric, that is, $\langle l, k \rangle \in \mathcal{L}$ implies $\langle k, l \rangle$ is also in \mathcal{L}
- reflexive, that is, $\langle l, k \rangle \in \mathcal{L}$ implies $\langle l, l \rangle$ and $\langle k, k \rangle$ are also in \mathcal{L} .

A network representation, Δ , is any triple $\langle \mathcal{N}, \mathcal{D}, \mathcal{L} \rangle$ where

- \mathcal{N} is a set of names, divided into $\mathbf{loc}(\mathcal{N})$ (location names) and $\mathbf{chan}(\mathcal{N})$ (channel names)
- $\mathcal{A} \subseteq \mathbf{loc}(\mathcal{N})$ represents the set of live locations
- $\mathcal{L} \subseteq \mathbf{loc}(\mathcal{N}) \times \mathbf{loc}(\mathcal{N})$ is a linkset representing the set of live connections between locations

In the sequel, we use the abbreviation $l \leftrightarrow k$ in linksets to denote the pairs $\langle l, l \rangle$, $\langle k, k \rangle$, $\langle l, k \rangle$, $\langle k, l \rangle$; we also denote the components of Δ as $\Delta_{\mathcal{N}}$, $\Delta_{\mathcal{A}}$ and $\Delta_{\mathcal{L}}$.

We may therefore take Δ and Δ' in (2) above to be network representations. Formally, we call pairs $\Delta \triangleright N$ configurations, whenever every free name in N occurs in the name component of Δ , and we define reductions to take place between such configurations. Since not all nodes are interconnected, the reduction semantics is based on the notions of *accessibility* and *reachability* between nodes: k is accessible from l in Δ , denoted as $\Delta \vdash k \leftarrow l$, if and only if k is alive ($k \in \Delta_{\mathcal{A}}$) and there is a (direct) live link between l and k ($\langle l, k \rangle \in \Delta_{\mathcal{L}}$); a node k is *reachable* from l in Δ , denoted as $\Delta \vdash k \rightsquigarrow l$, if there exists a *chain of live links* between the two nodes, where *every intermediate node is alive*.

The rules governing these reductions are given in Figures 2, 3 and 4. Figure 2 gives the standard rules for (local) communication, and the management of replication, matching and parallelism, derived from the corresponding rules for $D\pi$ in [12]. Every rule depends on the requirement that l , the location of the activity, is currently alive; this is the intent of the predicate $\Delta \vdash l : \mathbf{alive}$. The rules in Figure 3 are more interesting. Rules (r-go) and (r-ngo) state that a migration is successful depending on the accessibility of the destination. Similarly, (r-ping) and (r-mping) are subject to the same condition for the respective branchings. Note that $l \llbracket \text{ping } k.P \text{ else } Q \rrbracket$ yields *partial information* about the state of the underlying network: it can only determine that k is inaccessible, but does not give information on whether this is caused by the failure of node k , the breaking of the link $l \leftrightarrow k$, or both. The rules (r-kill), (r-brk) make the obvious changes to the current network; $\Delta - l$ means changing l to be a dead site in Δ , while $\Delta - l \leftrightarrow k$ means breaking the link between l and k . Finally (r-newc) and (r-newl) regulates the generation of new names; for example, (r-newl) launches a new location with a declared type $\text{loc}_S[C]$ using the function $\text{inst}(\text{loc}_S[C], l, \Delta)$. Intuitively, this returns the location type $\text{loc}_S[D]$, where the set of locations D , is the subset of locations in $C \cup \{l\}$ which are *reachable* from l . We refer the reader to the technical report, [8], for an example explaining how this function works.

Finally, in Figure 4 we have an adaptation of the standard *contextual* rules, which allow the basic reductions to occur in *evaluation contexts*. The rule (r-str) allows reductions up to a structural equivalence, in the standard manner, using the identities in Figure 5. The only non-trivial identities in Figure 5 are (s-flip-1) and (s-flip-2), where the

Table 2. Local Reduction Rules for $D\pi F$

Assuming $\Delta \vdash l : \mathbf{alive}$	
(r-comm)	
$\frac{\Delta \triangleright l \llbracket a! \langle V \rangle . P \rrbracket \mid l \llbracket a?(X) . Q \rrbracket \longrightarrow \Delta \triangleright l \llbracket P \rrbracket \mid l \llbracket Q \{V/X\} \rrbracket}{}$	
(r-rep)	(r-fork)
$\frac{\Delta \triangleright l \llbracket *a?(X) . P \rrbracket \longrightarrow \Delta \triangleright l \llbracket a?(X) . (P * a?(X) . P) \rrbracket}{\Delta \triangleright l \llbracket P \mid Q \rrbracket \longrightarrow \Delta \triangleright l \llbracket P \rrbracket \mid l \llbracket Q \rrbracket}$	
(r-eq)	(r-neq)
$\frac{\Delta \triangleright l \llbracket \text{if } u = u \text{ then } P \text{ else } Q \rrbracket \longrightarrow \Delta \triangleright l \llbracket P \rrbracket}{\Delta \triangleright l \llbracket \text{if } u = v \text{ then } P \text{ else } Q \rrbracket \longrightarrow \Delta \triangleright l \llbracket Q \rrbracket} \quad u \neq v$	

Table 3. Network Reduction Rules for $D\pi F$

Assuming $\Delta \vdash l : \mathbf{alive}$	
(r-go)	(r-ngo)
$\frac{\Delta \triangleright l \llbracket \text{go } k . P \rrbracket \longrightarrow \Delta \triangleright k \llbracket P \rrbracket}{\Delta \vdash k \leftarrow l} \quad \frac{\Delta \triangleright l \llbracket \text{go } k . P \rrbracket \longrightarrow \Delta \triangleright k \llbracket \mathbf{0} \rrbracket}{\Delta \triangleright k \leftarrow l}$	
(r-ping)	(r-mping)
$\frac{\Delta \triangleright l \llbracket \text{ping } k . P \text{ else } Q \rrbracket \longrightarrow \Delta \triangleright l \llbracket P \rrbracket}{\Delta \vdash k \leftarrow l} \quad \frac{\Delta \triangleright l \llbracket \text{ping } k . P \text{ else } Q \rrbracket \longrightarrow \Delta \triangleright l \llbracket Q \rrbracket}{\Delta \triangleright k \leftarrow l}$	
(r-kill)	(r-brk)
$\frac{\Delta \triangleright l \llbracket \text{kill} \rrbracket \longrightarrow (\Delta - l) \triangleright l \llbracket \mathbf{0} \rrbracket}{\Delta \triangleright l \llbracket \text{break } k \rrbracket \longrightarrow (\Delta - l \leftrightarrow k) \triangleright l \llbracket \mathbf{0} \rrbracket} \quad \Delta \vdash l \leftrightarrow k$	
(r-newc)	
$\frac{\Delta \triangleright l \llbracket (v c : \text{ch}) P \rrbracket \longrightarrow \Delta \triangleright (v c : \text{ch}) l \llbracket P \rrbracket}{}$	
(r-newl)	
$\frac{\Delta \triangleright l \llbracket (v k : \text{loc}_S[C]) P \rrbracket \longrightarrow \Delta \triangleright (v k : \text{loc}_S[D]) l \llbracket P \rrbracket}{\text{loc}_S[D] = \text{inst}(\text{loc}_S[C], l, \Delta)}$	

Table 4. Contextual Reduction Rules for $D\pi F$

(r-str)	
$\frac{\Delta \triangleright N' \equiv \Delta \triangleright N \quad \Delta \triangleright N \longrightarrow \Delta' \triangleright M \quad \Delta' \triangleright M \equiv \Delta' \triangleright M'}{\Delta \triangleright N' \longrightarrow \Delta' \triangleright M'}$	
(r-ctxt-rest)	(r-ctxt-par)
$\frac{\Delta + n : T \triangleright N \longrightarrow \Delta' + n : U \triangleright M}{\Delta \triangleright (v n : T) N \longrightarrow \Delta' \triangleright (v n : U) M} \quad \frac{\Delta \triangleright N \longrightarrow \Delta' \triangleright N'}{\Delta \triangleright N \mid M \longrightarrow \Delta' \triangleright N' \mid M} \quad \Delta \vdash M$	

Table 5. *Structural Rules for $D\pi F$*

(s-comm)	$N M \equiv M N$	
(s-assoc)	$(N M) M' \equiv N (M M')$	
(s-unit)	$N l[\mathbf{0}] \equiv N$	
(s-extr)	$(\nu n:T)(N M) \equiv N (\nu n:T)M$	$n \notin \mathbf{fn}(N)$
(s-flip-1)	$(\nu n:T)(\nu m:U)N \equiv (\nu m:U)(\nu n:T)N$	$n \notin \mathbf{fn}(U)$
(s-flip-2)	$(\nu n:T)(\nu m:U)N \equiv (\nu m:U-n)(\nu n:T+m)N$	$n \in \mathbf{fn}(U)$
(s-inact)	$(\nu n:T)N \equiv N$	$n \notin \mathbf{fn}(N)$

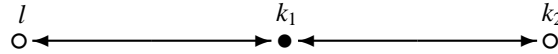
types of the successively scoped locations need to be changed if they denote a link between them, thus avoiding unwanted name capture. The rules (r-ctxt-par) and (r-ctxt-rest) allow reductions to occur under contexts; note that the latter is somewhat non-standard, but as reductions may induce faults in the network, it may be that the status and connectivity of the scoped (location) name n is affected by the reduction, thereby changing T to U .

This completes our exposition of the reduction semantics. At this point, we should point out that in a configuration such as $\Delta \triangleright N$, contrary to what we have implied up to now, Δ does not give a completely true representation of the network on which the code in N is running; the type information associated with scoped locations encodes parts of the network Δ that is hidden from the observer.

Example 1 (Syntax). Let Δ represent the network $\langle \{l, a\}; \{l\}; \{l \leftrightarrow l\} \rangle$ consisting of a channel a and a live node l and M_1 the system

$$(\nu k_2:\text{loc}_a[\emptyset]) (\nu k_1:\text{loc}_d[\{l, k_2\}]) (l[a!\langle k_2 \rangle.P] | k_2[Q])$$

Here M_1 generates two new locations k_1, k_2 , where k_1 is dead and linked to the existing node l and k_2 is alive linked to k_1 . Although Δ only contains one node l , the located process $l[a!\langle k_2 \rangle.P]$ (as well as $k_2[Q]$) is running on a network of *three nodes*, two of which, k_1, k_2 are scoped, that is not available to other systems. We can informally represent this network by



where the nodes \circ and \bullet denote live and dead nodes respectively. Note that the same network could be denoted by the system N_1

$$(\nu k_1:\text{loc}_d[\{l\}]) (\nu k_2:\text{loc}_a[\{k_1\}]) (l[a!\langle k_2 \rangle.P] | k_2[Q])$$

Note also that the two systems are structurally equivalent, $M_1 \equiv N_1$, through (s-flip-2). As a notational abbreviation, in all future example we will omit the status annotation a in live location declarations; so for example system N_1 would be given as

$$(\nu k_1:\text{loc}_d[\{l\}]) (\nu k_2:\{k_1\}) (l[a!\langle k_2 \rangle.P] | k_2[Q])$$

3 A labelled transition system

In this section we give a labelled transition system for the language, in which the labelled actions are intended to mimic the possible interactions between a system and an

observer; it is natural to assume that both share the same underlying network. However Example 2 below demonstrates that our representation of this joint network is no longer sufficient if we want to faithfully record the effect interactions have on systems, because they may lead to a discrepancy between the *system network view* and the *observer network view*.

Example 2 (Observer's Network view). Let Δ and M_1 be defined as in Example 1. An observer O at site l , such as $\llbracket a?(x).P(x) \rrbracket$, can gain knowledge of the new location k_2 , thereby evolving to $\llbracket P(k_2) \rrbracket$. But even though it is in possession of the name k_2 , it's knowledge of the state of the underlying network is no longer represented by Δ , and there is now a mismatch between the observer view of the network, and the system view. The system view is now $\Delta' = \langle \{a, l, k_2\}; \{l, k_2\}; \{l \leftrightarrow l, k_2 \leftrightarrow k_2\} \rangle$, that is Δ augmented by the scope extrusion of the *live* node k_2 linked to a private (dead) node k_1 , which is, in turn, linked to l . But the observer's view is quite different: the node l is accessible to the observer, since it has code running there; nevertheless, even though the observer knows about k_2 at l in $P(k_2)$, it does not have enough information to *reach* k_2 from l . As a result, it has no means how to determine k_2 's state (its status and connections) nor interact with any code at k_2 . This means that the representation of the observers view, requires a new kind of annotation, for nodes such as k_2 , whose name is known but cannot be *reached*.



Stated otherwise, in order to give an lts semantics, we need to refine our representations of networks.

Definition 2 (Effective network representations). An effective network representation Σ is a triple $\langle \mathcal{N}, \mathcal{O}, \mathcal{H} \rangle$, where:

- \mathcal{N} is a set of names, as before, divided into $\mathbf{loc}(\mathcal{N})$ and $\mathbf{chan}(\mathcal{N})$
- \mathcal{O} is a linkset, denoting the live locations and links that are observable by the context
- \mathcal{H} is another linkset, denoting the live locations and links that are hidden (or unreachable) to the context.

We also assume three consistency requirements: (i) $\mathbf{dom}(\mathcal{O}) \subseteq \mathbf{loc}(\mathcal{N})$, (ii) $\mathbf{dom}(\mathcal{H}) \subseteq \mathbf{loc}(\mathcal{N})$ and (iii) $\mathbf{dom}(\mathcal{O}) \cap \mathbf{dom}(\mathcal{H}) = \emptyset$.

The intuition is that an observer running on a network representation Σ , knows about all the names in Σ , denoted as $\Sigma_{\mathcal{N}}$, and has access to all the locations in $\mathbf{dom}(\mathcal{O})$. As a result, it knows the state of every location in $\mathbf{dom}(\mathcal{O})$ and the live links between these locations. The observer, however, does not have access to the live locations in $\mathbf{dom}(\mathcal{H})$; as a result, it cannot determine the live links between them nor can it distinguish them from dead nodes. Σ , optimises on the previous (intuitive) network representation Δ in two ways: (1) It encodes the node and liveness using a single linkset, instead of two distinct sets, $\Delta_{\mathcal{A}}$ and $\Delta_{\mathcal{L}}$ (2) it does not represent unusable live links, that is links where either end point is a dead node. Summarising, Σ hold all the necessary information from the observer's point of view, that is, the known names, \mathcal{N} , the known state, \mathcal{O} , and the

state that can potentially become known in future, as a result of scope extrusion, \mathcal{H} . For brevity, we omit channel names from any Σ_N in the remainder of the paper.

With this refined notion, we can now represent the observers view of Example 2 as $\mathcal{N} = \{l, k_2\}$, $\mathcal{O} = \{l \leftrightarrow l\}$ and $\mathcal{H} = \{k_2 \leftrightarrow k_2\}$. In the sequel, we use *configurations* of the form $\Sigma \triangleright N$, where Σ is a network representation, and N satisfies the previous consistency constraint, $\mathbf{fn}(N) \subseteq \Sigma_N$.

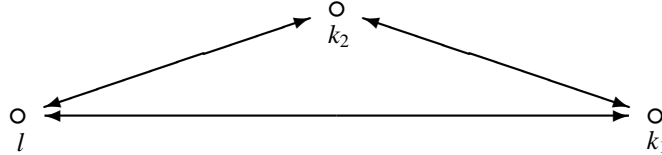
We now define a labelled transition system for $D\pi F$, which consists of a collection of actions over configurations, $\Sigma \triangleright N \xrightarrow{\mu} \Sigma' \triangleright N'$, where μ can be an internal action, τ , a bound input, $(\tilde{n} : \tilde{T})l : a?(V)$ or bound output, $(\tilde{n} : \tilde{T})l : a!(V)$, adopted from [11, 10], or the new labels, $\text{kill} : l$ and $l \leftrightarrow k$, denoting external location killing and link breaking respectively. These actions are defined by transition rules given in the full paper, [8]. In Figure 6 we give the interesting rules. The transition rules introducing external actions such as (l-halt) and (l-disc) are subject to judgements of the form $\Sigma \vdash_{\text{obs}} l : \mathbf{alive}$, requiring that l is alive ($\Sigma \vdash l : \mathbf{alive}$) and *accessible by the observer* ($l \in \mathbf{dom}(\Sigma_{\mathcal{O}})$). We employ three rules for scoping, the standard (l-rest), the standard but modified (l-open) which filters (unusable) links connected to *dead* nodes through the condition $U = T \cap \mathbf{dom}(\Sigma_{\mathcal{O}} \cup \Sigma_{\mathcal{H}})$, and the non-standard (l-rest-tp), which filters links between scope extruded locations and scoped locations in bound output labels.

With these actions we can now define in the standard manner a bisimulation equivalence between configurations, which can be used as the basis for contextual reasoning. Let us write

$$\Sigma \models M \approx_{\text{int}} N$$

to mean that there is a (weak) bisimulation between the configurations $\Sigma \triangleright M$ and $\Sigma \triangleright N$

Example 3 (Server Implementations Revisited). Consider the network:



formally represented as $\Sigma = \langle \mathcal{N}, \mathcal{O}, \mathcal{H} \rangle$, where $\mathcal{N} = \{l, k_1, k_2\}$, $\mathcal{O} = \{l \leftrightarrow k_1, l \leftrightarrow k_2, k_1 \leftrightarrow k_2\}$ and $\mathcal{H} = \emptyset$. If we assume that the three server implementations presented earlier in the Introduction were running over Σ , we are able to formally argue that

$$\Sigma \models \text{server} \not\approx_{\text{int}} \text{servD} \not\approx_{\text{int}} \text{servD2Rt}$$

To see this, it is sufficient to examine the behaviour of these systems subsequent to an actions such as $\xrightarrow{l \leftrightarrow k_1}$ and $\xrightarrow{\text{kill}:k_1}$.

One can also use the lts to establish positive results. For example, for $\Sigma_{l,k} = \langle \{l, k\}, \{l \leftrightarrow k\}, \emptyset \rangle$, one can prove

$$\Sigma_{l,k} \models l[\text{ping } k. a!\langle \rangle \text{ else } \mathbf{0}] \approx_{\text{int}} k[\text{go } l. a!\langle \rangle]$$

Nevertheless, we can argue, at least informally, that this notion of equivalence is too *discriminating* and the lts labels too *intensional*, because we distinguish between configurations where the differences in behaviour are difficult to observe. Problems arise when there is an interplay between *hidden* nodes, links and dead nodes.

Table 6. Main Operational Rules for $D\pi F$

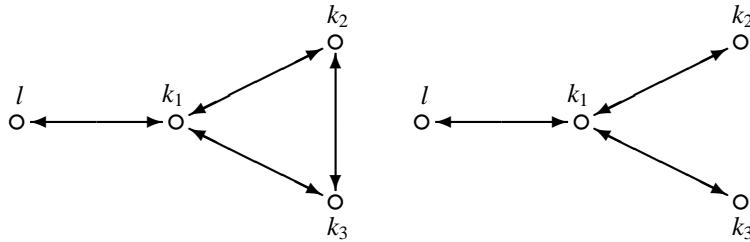
Assuming $\Sigma \vdash l : \mathbf{alive}$	
<p>(l-kill)</p> $\frac{}{\Sigma \triangleright \llbracket \mathbf{kill} \rrbracket \xrightarrow{\tau} (\Sigma - l) \triangleright \llbracket \mathbf{0} \rrbracket}$	<p>(l-brk)</p> $\frac{}{\Sigma \triangleright \llbracket \mathbf{break} \ k \rrbracket \xrightarrow{\tau} \Sigma - (l \leftrightarrow k) \triangleright \llbracket \mathbf{0} \rrbracket} \quad \Sigma \vdash l \leftrightarrow k$
<p>(l-halt)</p> $\frac{}{\Sigma \triangleright N \xrightarrow{\text{kill}/l} (\Sigma - l) \triangleright N} \quad \Sigma \vdash_{\text{obs}} l : \mathbf{alive}$	<p>(l-disc)</p> $\frac{}{\Sigma \triangleright N \xrightarrow{\text{brk}/k} \Sigma - (l \leftrightarrow k) \triangleright N} \quad \Sigma \vdash_{\text{obs}} l \leftrightarrow k$
<p>(l-open)</p> $\frac{\Sigma + n : T \triangleright N \xrightarrow{(\tilde{n}:\tilde{T})!a!(V)} \Sigma' \triangleright N'}{\Sigma \triangleright (\nu n : T)N \xrightarrow{(n:U,\tilde{n}:\tilde{T})!a!(V)} \Sigma' \triangleright N'} \quad l, a \neq n \in V, U = T \cap \mathbf{dom}(\Sigma_O \cup \Sigma_H)$	
<p>(l-weak)</p> $\frac{\Sigma + n : T \triangleright N \xrightarrow{(\tilde{n}:\tilde{T})!a?(V)} \Sigma' \triangleright N'}{\Sigma \triangleright N \xrightarrow{(n:T,\tilde{n}:\tilde{T})!a?(V)} \Sigma' \triangleright N'} \quad l, a \neq n \in V, (\Sigma + \tilde{n}:\tilde{T}) \vdash_{\text{obs}} T$	
<p>(l-rest-tyt)</p> $\frac{\Sigma + k : T \triangleright N \xrightarrow{(\tilde{n}:\tilde{T})!a!(V)} (\Sigma + \tilde{n}:\tilde{U}) + k : U \triangleright N'}{\Sigma \triangleright (\nu k : T)N \xrightarrow{(\tilde{n}:\tilde{U})!a!(V)} \Sigma + \tilde{n}:\tilde{U} \triangleright (\nu k : U)N'} \quad l, a \neq k \in \mathbf{fn}(\tilde{T})$	
<p>(l-rest)</p> $\frac{\Sigma + n : T \triangleright N \xrightarrow{\mu} \Sigma' + n : U \triangleright N'}{\Sigma \triangleright (\nu n : T)N \xrightarrow{\mu} \Sigma' \triangleright (\nu n : U)N'} \quad n \notin \mathbf{fn}(\mu)$	<p>(l-par-ctxt)</p> $\frac{\Sigma \triangleright N \xrightarrow{\mu} \Sigma' \triangleright N'}{\Sigma \triangleright N M \xrightarrow{\mu} \Sigma' \triangleright N' M} \quad \Sigma \vdash M$ $\Sigma \triangleright M N \xrightarrow{\mu} \Sigma' \triangleright M N'$

Example 4 (Inaccessible Network State). Let Σ be the network in which there is only one node, l , which is alive and consider the two systems

$$M_2 \Leftarrow (\nu k_1 : \{l\}) (\nu k_2 : \{k_1\}) (\nu k_3 : \{k_1, k_2\}) \llbracket a!(k_2, k_3).P \rrbracket$$

$$N_2 \Leftarrow (\nu k_1 : \{l\}) (\nu k_2 : \{k_1\}) (\nu k_3 : \{k_1\}) \llbracket a!(k_2, k_3).P \rrbracket$$

When M_2 and N_2 are running on Σ , the code $\llbracket a!(k_2, k_3).P \rrbracket$, present in both M_2 and N_2 , is effectively running on the following respective networks, due to the newly declared locations:



Using our lts, we determine that $\Sigma \models M_2 \not\approx_{int} N_2$ because the configurations give rise to *different* output actions:

$$\begin{aligned} \Sigma \triangleright M_2 &\xrightarrow{(k_2:\emptyset, k_3:\{k_2\})l:a!(k_2,k_3)} \Sigma + k_2:\emptyset + k_3:\{k_2\} \triangleright (\nu k_1:\{l, k_2, k_3\}) l[[P]] \\ \Sigma \triangleright N_2 &\xrightarrow{(k_2:\emptyset, k_3:\emptyset)l:a!(k_2,k_3)} \Sigma + k_2:\emptyset + k_3:\emptyset \triangleright (\nu k_1:\{l, k_2, k_3\}) l[[P]] \end{aligned}$$

The difference lies in the type at which the location k_3 is exported: M_2 exports k_3 connected to k_2 whereas in N_2 exports a completely disconnected k_3 .

However, if k_1 does not occur in P , then k_1 can never be scope extruded to the observer and thus k_2 and k_3 will remain inaccessible in both systems. This means that the presence (or absence) of the link $k_2 \leftrightarrow k_3$ can never be verified by the observer and thus there should be no observable difference between M_2 and N_2 running on Σ .

Example 5 (Interplay between Node and Link Failure). We consider the following three configurations together with the depiction of the respective networks over which the common located process $l[[a!(k).P]]$ is running:

$$\begin{aligned} M_3^1 \Leftarrow \langle \{l, a\}, \{l_1 \leftrightarrow l_1\}, \emptyset \rangle \triangleright (\nu k:\text{loc}_d[\{l\}])l[[a!(k).P]] &: \begin{array}{ccc} l & \longleftrightarrow & k \\ \circ & & \bullet \end{array} \\ M_3^2 \Leftarrow \langle \{l, a\}, \{l_1 \leftrightarrow l_1\}, \emptyset \rangle \triangleright (\nu k:\text{loc}_d[\emptyset])l[[a!(k).P]] &: \begin{array}{ccc} l & & k \\ \circ & & \bullet \end{array} \\ M_3^3 \Leftarrow \langle \{l, a\}, \{l_1 \leftrightarrow l_1\}, \emptyset \rangle \triangleright (\nu k:\text{loc}_a[\emptyset])l[[a!(k).P]] &: \begin{array}{ccc} l & & k \\ \circ & & \circ \end{array} \end{aligned}$$

Intuitively, no observer can distinguish between these three configurations; even though some observer might obtain the scoped name k by inputting on channel a at l , it cannot determine the difference in the state of network. From rule (l- η ping), we conclude that any attempt to ping k from l will yield the negative branch. However, such an observation does not give the observer enough information about whether it was caused by a node fault at k , a link fault between l and k or both. As a result, we would like to equate all three configuration. However, our lts specifies that all three configurations perform the output with different scope extrusion labels, namely:

$$\begin{aligned} \langle \{l\}, \{l \leftrightarrow l\}, \emptyset \rangle \triangleright M_3^1 &\xrightarrow{(k:\text{loc}_d[\{l\})l:a!(k)} \langle \{l\}, \{l \leftrightarrow l\}, \emptyset \rangle \triangleright l[[P]] \\ \langle \{l\}, \{l \leftrightarrow l\}, \emptyset \rangle \triangleright M_3^2 &\xrightarrow{(k:\text{loc}_d[\emptyset])l:a!(k)} \langle \{l\}, \{l \leftrightarrow l\}, \emptyset \rangle \triangleright l[[P]] \\ \langle \{l\}, \{l \leftrightarrow l\}, \emptyset \rangle \triangleright M_3^3 &\xrightarrow{(k:\text{loc}_a[\emptyset])l:a!(k)} \langle \{l\}, \{l \leftrightarrow l\}, \{k \leftrightarrow k\} \rangle \triangleright l[[P]] \end{aligned}$$

and as a result, these configurations are differentiated by \approx_{int} .

4 Reduction barbed congruence

The fundamental problem with the lts of the previous section is that when new locations are scope extruded, the associated information, coded in the types at which they are exported, is too detailed. The current actions carry too much *internal* information

and hence, we need a revised form of action, which carry just the right amount of information.

However, before we plunge into our revision, it is best to have yardstick with respect to which we can calibrate the appropriateness of the revised labelled actions, and the resulting bisimulation equivalence. We adapt a well-known formulation of contextual equivalence to $D\pi F$, [13, 11], called *reduction barbed congruence*. This relies on the notion of a *barb*, a collection of primitive observations which can be made on systems. Let us write $\Sigma \triangleright N \Downarrow_{a@l}$ to mean that an output on channel a at an accessible location l can be observed. Then, we would expect all reasonable behavioural equivalences to preserve these barbs. But the key idea in the definition is to use a notion of *contextual* relation over configurations, in which the contexts only have access to the *observable* part of the network.

Definition 3 (Contextual Relations). *A relation \mathcal{R} over configurations is contextual if:*

(Parallel Systems)

$$\bullet \Sigma \triangleright M \mathcal{R} \Sigma' \triangleright N \text{ and } \Sigma \vdash_{\text{obs}} O, \Sigma' \vdash_{\text{obs}} O \quad \text{implies} \quad \begin{array}{l} - \Sigma \models M|O \mathcal{R} N|O \\ - \Sigma \models O|M \mathcal{R} O|N \end{array}$$

(Network Extensions)

$$\bullet \Sigma \triangleright M \mathcal{R} \Sigma' \triangleright N \text{ and } \Sigma \vdash_{\text{obs}} T, \Sigma' \vdash_{\text{obs}} T, n \text{ fresh} \quad \text{implies} \quad \Sigma+n:T \models M \mathcal{R} N$$

where $\Sigma \vdash_{\text{obs}} O$ and $\Sigma \vdash_{\text{obs}} T$ restrict the observer O and connections of location types to accessible locations only.

Definition 4 (Reduction barbed congruence). *Let \cong be the largest relation between configurations which is contextual, preserves barbs and is reduction-closed.*

Note that, apriori, this definition allows us to compare configurations which have different networks. However, it turns out that whenever $\Sigma \triangleright M \cong \Sigma' \triangleright N$, the external parts of Σ and Σ' must coincide. In the sequel, we abbreviate $\Sigma \triangleright M \cong \Sigma \triangleright N$, the cases where both networks are identical, to $\Sigma \models M \cong N$.

We now outline a revision of our labelled actions with the property that the resulting bisimulation equivalence coincides with the yardstick relation, \cong . The idea is to reuse the same actions but to simply change the types at which bound names appear. Currently, these are of the form $T = \text{ch}$ or $\text{loc}_S[C]$, where the latter indicates the status of a location and its connectivity. We change these types to new types of the form $L, K = \{l_1 \leftrightarrow k_1, \dots, l_i \leftrightarrow k_i\}$ where L, K are linksets. these represent the new live nodes and links, which are made accessible to observers by the extrusion of the new location. Alternatively, this is the information which is added to the observable part of the network representation, Σ_O , as a result of the action.

The formal definition is given in Figure 7, which is expressed in terms of a function $\text{lnk}(n : T, \Sigma)$, the definition of which is relegated to the Appendix. Intuitively, if n is a channel ($T = \text{ch}$) or a dead location ($T = \text{loc}_a[L]$), $\text{lnk}(n : T, \Sigma)$ returns the empty link set \emptyset . Otherwise, when it is a live location ($T = \text{loc}_a[C]$), it constructs the linkset denoting the nodes and links that are made accessible by the addition of the new location $n : \text{loc}_a[C]$ to the network Σ .

Table 7. *The derived lts for $D\pi F$*

<p>(l-deriv-1)</p> $\frac{\Sigma \triangleright N \xrightarrow{\mu} \Sigma' \triangleright N'}{\Sigma \triangleright N \xrightarrow{\mu} \Sigma' \triangleright N'} \quad \mu \in \{\tau, \text{kill} : l, l \leftrightarrow k\}$	<p>(l-deriv-2)</p> $\frac{\Sigma \triangleright N \xrightarrow{(\tilde{n}:\tilde{T}):a!(V)} \Sigma' \triangleright N'}{\Sigma \triangleright N \xrightarrow{(\tilde{n}:\tilde{L}):a!(V)} \Sigma' \triangleright N'} \quad \tilde{L} = \text{lnk}(\tilde{n}:\tilde{T}, \Sigma)$
<p>(l-deriv-3)</p> $\frac{\Sigma \triangleright N \xrightarrow{(\tilde{n}:\tilde{T}):a!(V)} \Sigma' \triangleright N'}{\Sigma \triangleright N \xrightarrow{(\tilde{n}:\tilde{L}):a!(V)} \Sigma' \triangleright N'} \quad \tilde{L} = \text{lnk}(\tilde{n}:\tilde{T}, \Sigma)$	

These revised actions give rise to a new bisimulation equivalence over configurations, \approx , and we use

$$\Sigma \models M \approx N$$

to mean that the configurations $\Sigma \triangleright M$ and $\Sigma \triangleright N$ are bisimilar.

Example 6 (Derived bisimulations). Recall that, in Example 4, we had different actions for $\Sigma \triangleright M_2$ and $\Sigma \triangleright N_2$ because $\Sigma \triangleright M_2$ exported k_3 with a link to k_2 and $\Sigma \triangleright N_2$ did not. However, Σ contains only one accessible node, l , and extending it with the completely disconnected new node k_2 does not increase the set of accessible nodes, $\Sigma_{\mathcal{O}}$. Furthermore, increasing $\Sigma + k_2 : \emptyset$ with a new node k_3 , linked to the inaccessible k_2 (in the case of $\Sigma \triangleright M_2$) or completely disconnected (in the case of $\Sigma \triangleright N_2$), also leads to no increase in the accessible nodes. Correspondingly, the calculations of $\text{lnk}(k_2 : \emptyset, \Sigma)$ and $\text{lnk}(k_3 : \{k_2\}, \Sigma + k_2 : \emptyset)$ both lead to the empty linkset type. Formally, we get the same derived actions

$$\begin{aligned} \Sigma \triangleright M_2 &\xrightarrow{(k_2:\emptyset, k_3:\emptyset):a!(k_2, k_3)} \Sigma + k_2 : \emptyset + k_3 : \{k_2\} \triangleright (\nu k_1 : \{l, k_2, k_3\}) l[[P]] \\ \Sigma \triangleright N_2 &\xrightarrow{(k_2:\emptyset, k_3:\emptyset):a!(k_2, k_3)} \Sigma + k_2 : \emptyset + k_3 : \emptyset \triangleright (\nu k_1 : \{l, k_2, k_3\}) l[[P]] \end{aligned}$$

Furthermore, if P contains no occurrence of k_1 , we can go on to show $\Sigma \models M \approx N$.

On the other hand, if P is $a!\langle k_1 \rangle$, the subsequent transitions are:-

$$\begin{aligned} \Sigma + k_2 : \emptyset + k_3 : \{k_2\} \triangleright (\nu k_1 : \{l, k_2, k_3\}) l[[P]] &\xrightarrow{(k_1:L):a!\langle k_1 \rangle} \dots \\ \Sigma + k_2 : \emptyset + k_3 : \emptyset \triangleright (\nu k_1 : \{l, k_2, k_3\}) l[[P]] &\xrightarrow{(k_1:K):a!\langle k_1 \rangle} \dots \end{aligned}$$

where $L/K = \{k_2 \leftrightarrow k_3\}$. More specifically, L and K hold information directly related to k_1 such as $k_1 \leftrightarrow l$ together with information related to previously inaccessible nodes such as $k_2 \leftrightarrow k_3$, which has now become accessible as a result of exporting k_1 . The first derived action $(k_1:L)l : a!\langle k_1 \rangle$ thus exports the extra (previously hidden) information $k_2 \leftrightarrow k_3$ in L and based on this discrepancy, we have $\Sigma \models M_2 \not\approx N_2$

Revisiting Example 5, the three different actions of M_3^1 , M_3^2 and M_3^3 now converge to the same action $M_3^i \xrightarrow{(k:\emptyset):a!\langle k \rangle} \dots \triangleright l[[P]]$, hence $\Sigma \models M_3^1 \approx M_3^2 \approx M_3^3$.

The main result of this paper can now be stated:

Theorem 1. *In $D\pi F$, $\Sigma \models M \approx N$ if and only if $\Sigma \models M \cong N$*

Proof. (Outline) In one direction, this involves showing that \approx as a relation over configurations satisfies the defining properties of *reduction barbed congruence*. The main problem here is to show that \approx is contextual, and in particular that $\Sigma \models M \approx N$ implies $\Sigma \models M|O \approx N|O$ for every O which only has access to the external (accessible) part of Σ . The overall structure of the proof is similar to the corresponding result in [10], Proposition 12, but the details are more complicated because of the presence of the network. We refer to the full paper, [8], for an elaborate presentation of the proofs.

The essential part of the converse is to show *Definability*, that is for every derived action, relative to a network Σ , there is an observer which only uses the external knowledge of Σ to completely characterise the effect of that action. These observers have already been constructed for simpler languages such as π -calculus, in [11], and $D\pi$, in [10]. Here the novelty is to be able to characterise the observable effect that actions have on a network.

5 Conclusions and Related Work

We have presented a simple extension of $D\pi$, in which there is an explicit representation of the state of the underlying network on which processes execute. Our main result is a *fully-abstract* bisimulation equivalence for reasoning about the behaviour of distributed processes in the presence of network configurations with *dead nodes*, *partial connectivity* and *dynamic network failures*. To the best of our knowledge, this is the first time system behaviour in the presence of *link* failure (*permanent* partial accessibility of nodes) has been investigated. It is also the first time that software observation of node and link failure has been investigated in a process calculus setting.

Application and Future Work: Our work is best viewed as a well-founded framework from which numerous variations could be considered such as unidirectional links, ping constructs that are *eventually* correct and transient failure. In our more immediate research, we intend to use our present results to develop a theory of *fault-tolerance* and to apply it to example systems from the literature such as [5]. As it currently stands, our work lends itself well to the study of distributed software that needs to be aware of the *dynamic* computing context in which it is executing; various examples can be drawn from ad-hoc networks, embedded systems and generic routing software. In these settings, the software typically *discovers* new parts of the neighbouring network *at runtime* and *updates* its knowledge of the network state with changes caused by failure.

Related Work: There have been a number of studies on process behaviour in the presence of *permanent node failure* only, amongst them [15], our point of departure. In this work, they developed bisimulation techniques for a distributed variant of CCS with location failure. Our work is also very close to the pioneering work [2, 1]; their approach to developing reasoning tools is however quite different from ours. Rather than develop, justify and use bisimulations in the source language of interest, in their case π_l and π_{1l} , they propose a translation into a version of the π -calculus *without* locations, and use reasoning tools on the translations. But most importantly, they do show that for certain π_{1l} terms, it is sufficient to reason on these translations. The closest work to the study of

link failure is [6], where distributed Linda-like programs are studied in the presence of connect and disconnect software primitives that dynamically change the accessibility of locations. The connect construct employed is however very powerful and can connect *any* two disconnected sites; this obviates the need for observer restricted views, thereby simplifying immensely the theory. Elsewhere, permanent location failure with hierarchical dependencies have been studied by Fournet *et al* [7]. Berger [3] was the first to study a π -calculus extension that models *transient* location failure with persistent code and communication failures, while Nestmann *et al* [14] employ a tailor-made process calculus to study standard results in distributed systems, such as [5].

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