

Concurrent Strategies

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The next-generation domain theory? An intensional theory to capture the ways of computing, to near operational concerns and reasoning? An event-based theory?

A new result characterizing (nondeterministic) concurrent strategies

Representations of traditional domains

What is the information order? What are the 'units' of information?

Two answers:

(*'Topological'*) [Scott]: *Propositions* about finite properties;
more information corresponds to more propositions being true.
Functions are ordered pointwise. Can represent domains via logical theories
(*'Information systems'*, *'Logic of domains'*).

(*'Temporal'*) [Berry]: *Events* (atomic actions);
more information corresponds to more events having occurred.
Intensional 'stable order' on 'stable' functions. (*'Stable domain theory'*)
Can represent Berry's dl domains as event structures.

Event structures

An *event structure* comprises (E, Con, \leq) , consisting of a set of *events* E

- partially ordered by \leq , the *causal dependency relation*, and
- a nonempty family Con of finite subsets of E , the *consistency relation*,
which satisfy

$\{e' \mid e' \leq e\}$ is finite for all $e \in E$,

$\{e\} \in \text{Con}$ for all $e \in E$,

$Y \subseteq X \in \text{Con} \Rightarrow Y \in \text{Con}$, and

$X \in \text{Con} \ \& \ e \leq e' \in X \Rightarrow X \cup \{e\} \in \text{Con}$.

Say e, e' are *concurrent* if $\{e, e'\} \in \text{Con} \ \& \ e \not\leq e' \ \& \ e' \not\leq e$.

In games the relation of *immediate* dependency $e \rightarrow e'$, meaning e and e' are distinct with $e \leq e'$ and no event in between, will play a very important role.

Configurations of an event structure

The *configurations*, $\mathcal{C}^\infty(E)$, of an event structure E consist of those subsets $x \subseteq E$ which are

Consistent: $\forall X \subseteq_{\text{fin}} x. X \in \text{Con}$ and

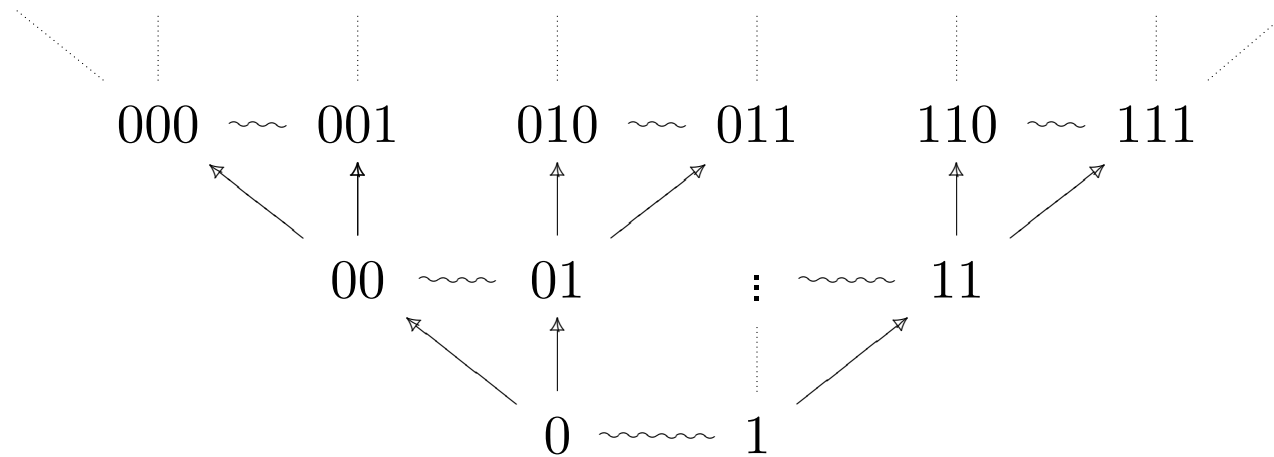
Down-closed: $\forall e, e'. e' \leq e \in x \Rightarrow e' \in x$.

For an event e the set $[e] =_{\text{def}} \{e' \in E \mid e' \leq e\}$ is a configuration describing the whole causal history of the event e .

$x \subseteq x'$, *i.e.* x is a sub-configuration of x' , means that x is a sub-history of x' .

If E is countable, $(\mathcal{C}^\infty(E), \subseteq)$ is a dI domain (and all such are so obtained). Here concentrate on the *finite* configurations $\mathcal{C}(E)$.

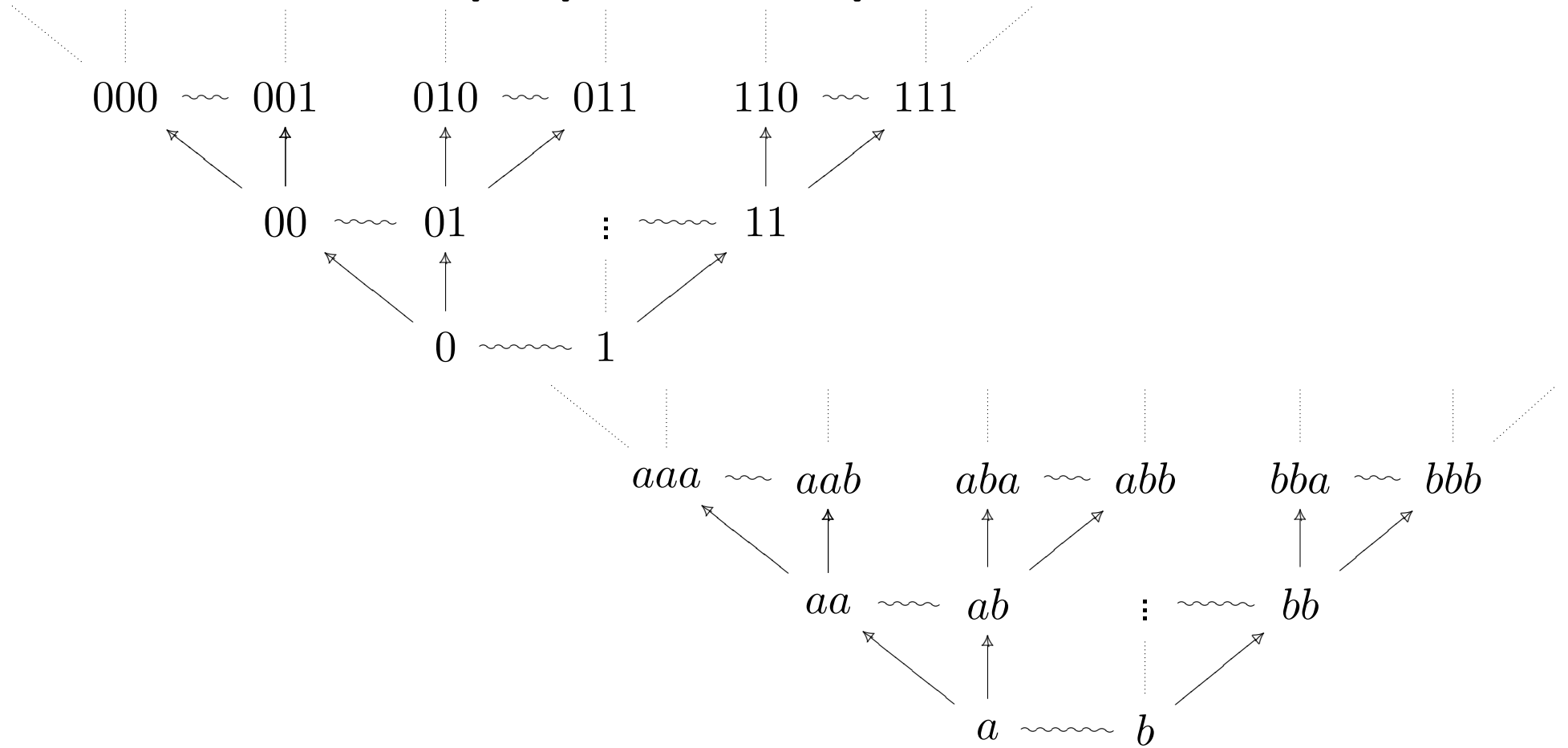
Event structures as types, e.g., Streams as event structures



~~~~~ conflict (inconsistency)

→ immed. causal dependency

## Simple parallel composition



## Event structures as processes

- Semantics of synchronising processes [Hoare, Milner] can be expressed in terms of universal constructions on event structures, and other models.
- Relations between models via adjunctions.

In this context, a *simulation map* of event structures  $f : E \rightarrow E'$  is a partial function on events  $f : E \rightharpoonup E'$  such that for all  $x \in \mathcal{C}(E)$

$fx \in \mathcal{C}(E')$  and

if  $e_1, e_2 \in x$  and  $f(e_1) = f(e_2)$ , then  $e_1 = e_2$ .      (*'event linearity'*)

**Idea:** the occurrence of an event  $e$  in  $E$  induces the coincident occurrence of the event  $f(e)$  in  $E'$  whenever it is defined.

## Process constructions on event structures

**“Partial synchronous” product:**  $A \times B$  with projections  $\Pi_1$  and  $\Pi_2$ ,  
*cf.* CCS synchronized composition where all events of  $A$  can synchronize with all events of  $B$ . (*Hard to construct directly so use e.g. stable families.*)

**Restriction:**  $E \upharpoonright R$ , the restriction of an event structure  $E$  to a subset of events  $R$ , has events  $E' = \{e \in E \mid [e] \subseteq R\}$  with causal dependency and consistency restricted from  $E$ .

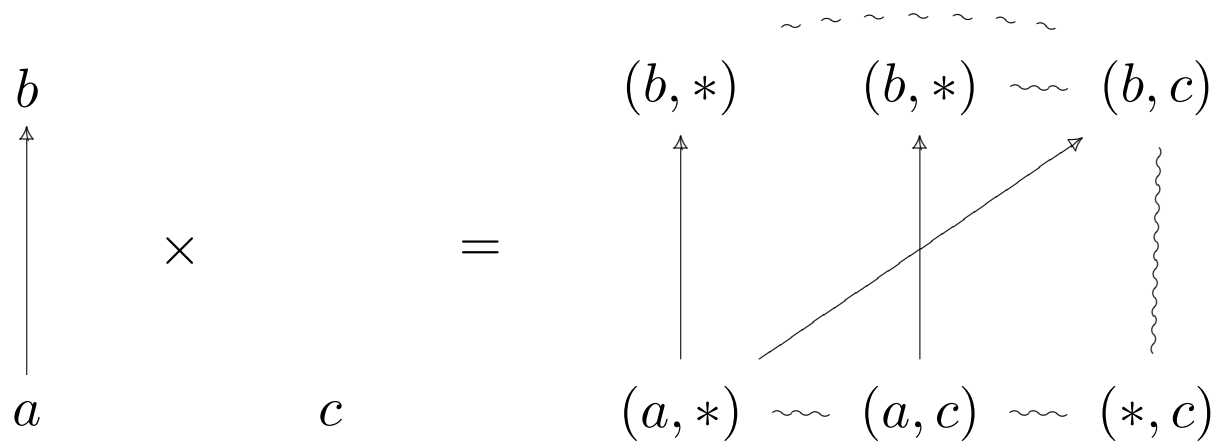
**Synchronized compositions:** restrictions of products  $A \times B \upharpoonright R$ , where  $R$  specifies the allowed synchronized and unsynchronized events.

**Projection:** Let  $E$  be an event structure. Let  $V$  be a subset of ‘visible’ events. The *projection* of  $E$  on  $V$ ,  $E \downarrow V$ , has events  $V$  with causal dependency and consistency restricted from  $E$ .

[*Event structures as types and processes? Spans*]



## Product—an example



# Concurrent games

## Basics

Games and strategies are represented by *event structures with polarity*.

The two polarities  $+$  and  $-$  express the dichotomy:  
player/opponent; process/environment; ally/enemy.

An *event structure with polarity* is one in which all events carry a polarity  $+/-$ , respected by maps.

*Dual*,  $E^\perp$ , of an event structure with polarity  $E$  is a copy of the event structure  $E$  with a reversal of polarities;  $\bar{e} \in E^\perp$  is complement of  $e \in E$ , and *vice versa*.

A (nondeterministic) concurrent *pre-strategy* in game  $A$  is a total map  $\sigma : S \rightarrow A$  of event structures with polarity.

## Pre-strategies

A *pre-strategy*  $\sigma : A \rightarrowtail B$  is a total map of event structures with polarity

$$\sigma : S \rightarrow A^\perp \parallel B.$$

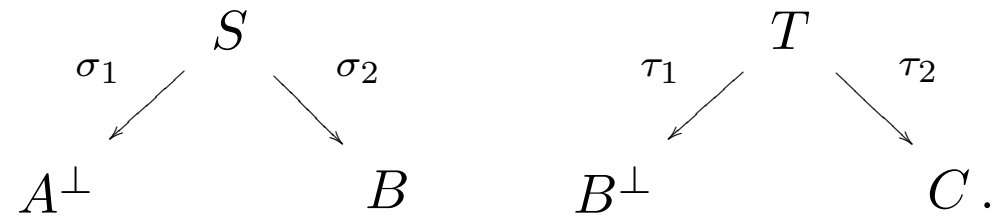
It determines a span of event structures with polarity

$$\begin{array}{ccc} & S & \\ \sigma_1 \swarrow & & \searrow \sigma_2 \\ A^\perp & & B \end{array}$$

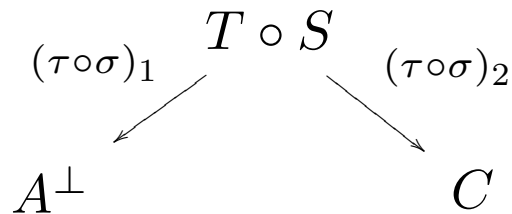
where  $\sigma_1, \sigma_2$  are *partial* maps of event structures with polarity; one and only one of  $\sigma_1, \sigma_2$  is defined on each event of  $S$ .

## Composing pre-strategies

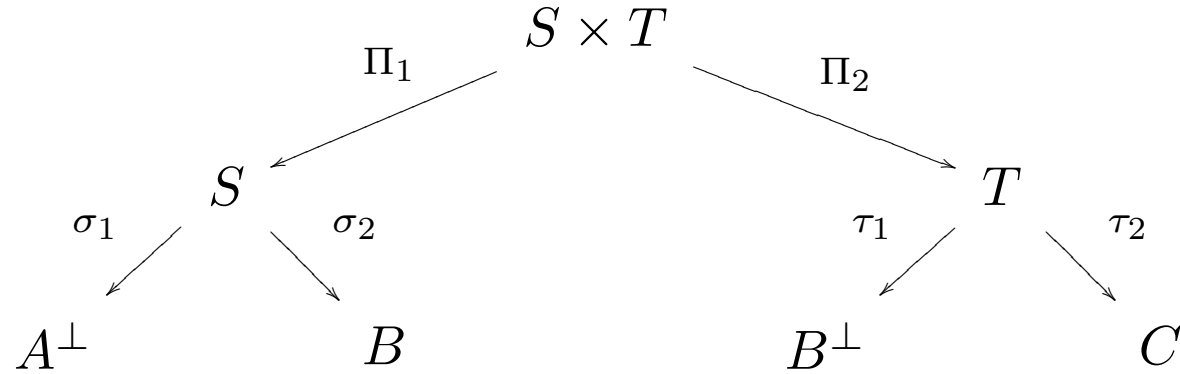
Two pre-strategies  $\sigma : A \multimap B$  and  $\tau : B \multimap C$  as spans:



Their composition



where  $T \circ S =_{\text{def}} (S \times T \upharpoonright \mathbf{Syn}) \downarrow \mathbf{Vis}$  where ...



Their composition:  $T \circ S =_{\text{def}} (S \times T \restriction \mathbf{Syn}) \downarrow \mathbf{Vis}$  where

$$\begin{aligned}
 \mathbf{Syn} = & \{p \in S \times T \mid \sigma_1 \Pi_1(p) \text{ is defined}\} \cup \\
 & \{p \in S \times T \mid \sigma_2 \Pi_1(p) = \overline{\tau_1 \Pi_2(p)} \text{ with both defined}\} \cup \\
 & \{p \in S \times T \mid \tau_2 \Pi_2(p) \text{ is defined}\}, \\
 \mathbf{Vis} = & \{p \in S \times T \restriction \mathbf{Syn} \mid \sigma_1 \Pi_1(p) \text{ is defined}\} \cup \\
 & \{p \in S \times T \restriction \mathbf{Syn} \mid \tau_2 \Pi_2(p) \text{ is defined}\}.
 \end{aligned}$$

## Concurrent copy-cat

Identities on games  $A$  are given by copy-cat strategies  $\gamma_A : \mathbb{C}_A \rightarrow A^\perp \parallel A$  —strategies for player based on copying the latest moves made by opponent.

$\mathbb{C}_A$  has the same events, consistency and polarity as  $A^\perp \parallel A$  but with causal dependency  $\leq_{\mathbb{C}_A}$  given as the transitive closure of the relation

$$\leq_{A^\perp \parallel A} \cup \{(\bar{c}, c) \mid c \in A^\perp \parallel A \ \& \ pol_{A^\perp \parallel A}(c) = +\}$$

where  $\bar{c} \leftrightarrow c$  is the natural correspondence between  $A^\perp$  and  $A$ . The map  $\gamma$  is the identity on the common underlying set of events. Then,

$$x \in \mathcal{C}(\mathbb{C}_A) \text{ iff } x \in \mathcal{C}(A^\perp \parallel A) \ \& \ \forall c \in x. \ pol_{A^\perp \parallel A}(c) = + \Rightarrow \bar{c} \in x.$$

# Copy-cat—an example

$$\mathbb{C}\mathbb{C}_A$$

$$A^\perp$$

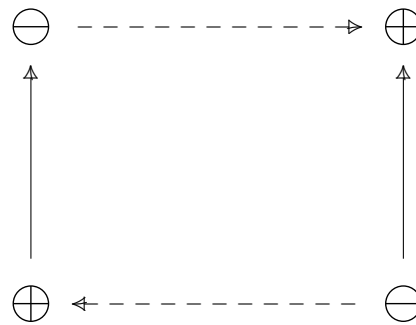
$$A$$

$$\bar{a}_2$$

$$a_2$$

$$\bar{a}_1$$

$$a_1$$



## Theorem characterizing concurrent strategies

**Receptivity**  $\sigma : S \rightarrow A^\perp \parallel B$  is *receptive* when  $\sigma(x) \subseteq^- y$  implies there is a unique  $x' \in \mathcal{C}(S)$  such that  $x \subseteq x'$  &  $\sigma(x') = y$ .

$$\begin{array}{ccc} x & \cdots \subseteq & x' \\ \downarrow & & \downarrow \\ \sigma(x) & \subseteq^- & y \end{array}$$

**Innocence**  $\sigma : S \rightarrow A^\perp \parallel B$  is *innocent* when it is

*+ -Innocence*: If  $s \rightarrow s'$  &  $pol(s) = +$  then  $\sigma(s) \rightarrow \sigma(s')$  and

*- -Innocence*: If  $s \rightarrow s'$  &  $pol(s') = -$  then  $\sigma(s) \rightarrow \sigma(s')$ .

$[\rightarrow \text{ stands for immediate causal dependency}]$

**Theorem** Receptivity and innocence are necessary and sufficient for copy-cat to act as identity w.r.t. composition:  $\sigma \circ \gamma_A \cong \sigma$  and  $\gamma_B \circ \sigma \cong \sigma$  for all  $\sigma : A \rightarrow B$ .



# The bicategory of concurrent games

**Definition** A *strategy* is a receptive, innocent pre-strategy.

$\leadsto$  A bicategory, **Games**, whose

*objects* are event structures with polarity—the games,

*arrows* are strategies  $\sigma : A \multimap B$

*2-cells* are maps of spans.

The vertical composition of 2-cells is the usual composition of maps of spans. Horizontal composition is given by the composition of strategies  $\odot$  (which extends to a functor on 2-cells via the functoriality of synchronized composition).

## Deterministic strategies

Say an event structures with polarity  $S$  is *deterministic* iff

$$\forall X \subseteq_{\text{fin}} S. \text{Neg}[X] \in \text{Con}_S \Rightarrow X \in \text{Con}_S ,$$

where  $\text{Neg}[X] =_{\text{def}} \{s' \in S \mid \exists s \in X. \text{pol}_S(s') = - \ \& \ s' \leq s\}$ .

Say a strategy  $\sigma : S \rightarrow A$  is deterministic if  $S$  is deterministic.

**Proposition** An event structure with polarity  $S$  is deterministic iff  $x \xrightarrow{s} \subset \& x \xrightarrow{s'} \subset \& \text{pol}_S(s) = +$  implies  $x \cup \{s, s'\} \in \mathcal{C}(S)$ , for all  $x \in \mathcal{C}(S)$ .

**Notation**  $x \xrightarrow{e} \subset y$  iff  $x \cup \{e\} = y \ \& \ e \notin x$ , for configurations  $x, y$ , event  $e$ .  
 $x \xrightarrow{e} \subset$  iff  $\exists y. x \xrightarrow{e} \subset y$ .

## Nondeterministic copy-cats

(i) Take  $A$  to consist of two +ve events and one –ve event, with any two but not all three events consistent. The construction of  $\mathbb{C}_A$ :

$$\begin{array}{c} \ominus \rightarrow \oplus \\ A^\perp \quad \ominus \rightarrow \oplus \quad A \\ \oplus \leftarrow \ominus \end{array}$$

(ii) Take  $A$  to consist of two events, one +ve and one –ve event, inconsistent with each other. The construction  $\mathbb{C}_A$ :

$$\begin{array}{c} A^\perp \quad \ominus \rightarrow \oplus \quad A \\ \oplus \leftarrow \ominus \end{array}$$

**Lemma** Let  $A$  be an event structure with polarity. The copy-cat strategy  $\gamma_A$  is deterministic iff  $A$  satisfies

$$\begin{aligned} \forall x \in \mathcal{C}(A). \ x \xrightarrow{a} \subset \ \& \ x \xrightarrow{a'} \subset \ \& \ pol_A(a) = + \ \& \ pol_A(a') = - \\ \Rightarrow x \cup \{a, a'\} \in \mathcal{C}(A). \quad (\ddagger) \end{aligned}$$

**Lemma** The composition  $\tau \odot \sigma$  of two deterministic strategies  $\sigma$  and  $\tau$  is deterministic.

**Proposition** A deterministic strategy  $\sigma : S \rightarrow A$  is injective on configurations (equivalently,  $\sigma : S \rightarrowtail A$ ).

$\leadsto$  sub-bicategory **DGames**, equivalent to an order-enriched category.

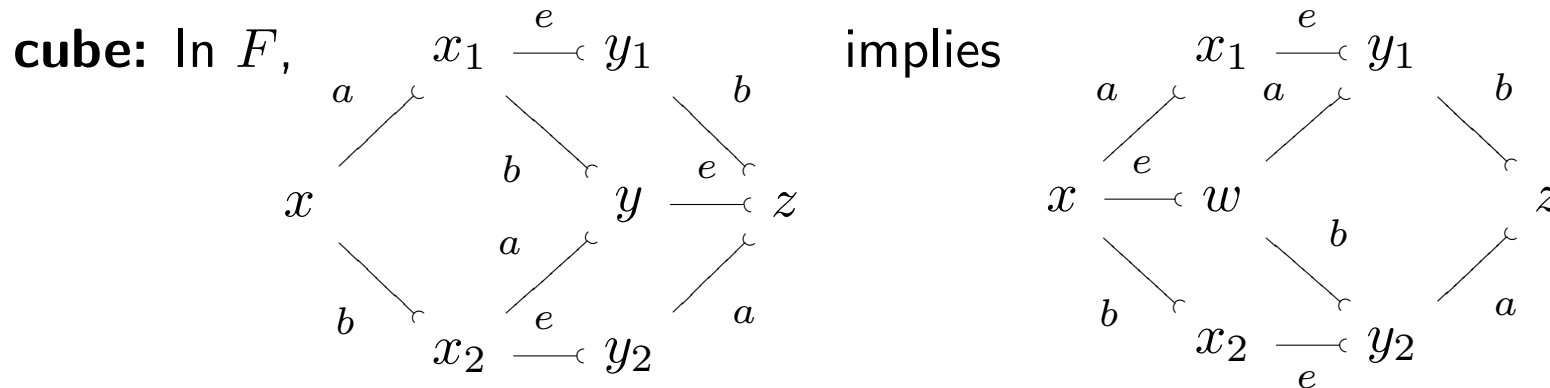
**Theorem** A subfamily  $F \subseteq \mathcal{C}(A)$  has the form  $\sigma\mathcal{C}(S)$  for a deterministic strategy  $\sigma : S \rightarrow A$ , iff

**reachability:**  $\emptyset \in F$  and if  $x \in F$ ,  $\emptyset \xrightarrow{a_1} x_1 \xrightarrow{a_2} \dots \xrightarrow{a_k} x_k = x$  within  $F$ ;

**determinacy:** If  $x \xrightarrow{a}$  and  $x \xrightarrow{a'}$  in  $F$  with  $pol_A(a) = +$ , then  $x \cup \{a, a'\} \in F$ ;

**receptivity:** If  $x \in F$  and  $x \xrightarrow{a}$  in  $\mathcal{C}(A)$  and  $pol_A(a) = -$ , then  $x \cup \{a\} \in F$ ;

**+innocence:** If  $x \xrightarrow{a} x_1 \xrightarrow{a'}$  &  $pol_A(a) = +$  in  $F$  &  $x \xrightarrow{a'}$  in  $\mathcal{C}(A)$ , then  $x \xrightarrow{a'}$  in  $F$  (receptivity implies --innocence);



## Related work—early results

**Stable spans, profunctors and stable functions** The sub-bicategory of **Games** where the events of games are purely +ve is equivalent to the bicategory of stable spans:

$$\begin{array}{ccc}
 & S & \\
 \sigma_1 \swarrow & & \searrow \sigma_2 \\
 A^\perp & & B
 \end{array}
 \longleftrightarrow
 \begin{array}{ccc}
 & S^+ & \\
 \sigma_1^- \swarrow & & \searrow \sigma_2^+ \\
 A & & B,
 \end{array}$$

where  $S^+$  is the projection of  $S$  to its +ve events;  $\sigma_2^+$  is the restriction of  $\sigma_2$  to  $S^+$  is rigid;  $\sigma_2^-$  is a *demand map* taking  $x \in \mathcal{C}(S^+)$  to  $\sigma_1^-(x) = \sigma_1[x]$ .

Composition of stable spans coincides with composition of their associated profunctors.

When deterministic (and event structures are countable) we obtain a sub-bicategory equivalent to Berry's **dl-domains and stable functions**.

## Related work continued

**Ingenuous strategies** Deterministic concurrent strategies coincide with the *receptive ingenuous* strategies of and Melliès and Mimram.

**Closure operators** A deterministic strategy  $\sigma : S \rightarrow A$  determines a closure operator  $\varphi$  on  $\mathcal{C}^\infty(S)$ : for  $x \in \mathcal{C}^\infty(S)$ ,

$$\varphi(x) = x \cup \{s \in S \mid \text{pol}(s) = + \ \& \ Neg[\{s\}] \subseteq x\}.$$

The closure operator  $\varphi$  on  $\mathcal{C}^\infty(S)$  induces a *partial* closure operator  $\varphi_p$  on  $\mathcal{C}^\infty(A)$  and in turn a closure operator  $\varphi_p^\top$  on  $\mathcal{C}^\infty(A)^\top$ .

**Simple games** “*Simple games*” [Hyland *et al.*] arise when we restrict **Games** to objects and deterministic strategies in  $\mathcal{PA}_t^{-\#}$  —alternating games, with conflicting branches, beginning with opponent moves.

# Categories for games

## Adjunctions

$$\begin{array}{ccccc}
 \mathcal{P}\mathcal{A}_r & \xleftarrow{\top} & \mathcal{P}\mathcal{F}_r & \xleftarrow{\top} & \mathcal{P}\mathcal{E}_r & \xleftarrow{\top} & \mathcal{P}\mathcal{E}_t \\
 \downarrow \vdash \uparrow & & \downarrow \vdash \uparrow & & & & \\
 \mathcal{P}\mathcal{A}_t^{-\#} & \xleftarrow{\top} & \mathcal{P}\mathcal{A}_t^{\#} & \xleftarrow{\top} & \mathcal{P}\mathcal{F}_t^{\#} & & 
 \end{array}$$

Conway games inhabit  $\mathcal{P}\mathcal{F}_t^{\#} = \mathcal{P}\mathcal{F}_r^{\#}$ , a coreflective subcategory of  $\mathcal{P}\mathcal{E}_t$ . Conway's 'sum' is obtained by applying the right adjoint to their  $\parallel$ -composition in  $\mathcal{P}\mathcal{E}_t$ .

'Simple games' belong to  $\mathcal{P}\mathcal{A}_r^{-\#}$ , "polarized" games, starting with moves of Opponent. 'Tensor' of simple games got by applying the right adjoint of  $\mathcal{P}\mathcal{A}_t^{-\#} \hookrightarrow \mathcal{P}\mathcal{E}_t$  to their  $\parallel$ -composition in  $\mathcal{P}\mathcal{E}_t$ .



## Current problems:

Recovering games with copying. *E.g.*, can the (co)monads for Hyland-Ong games be got from (co)monads on  $\mathcal{PE}_t$  with symmetry?

In special cases, strategies can be transformed s.t. composition of strategies can be expressed as the usual composition of spans. I don't think this is so in general?

## ERC Project:

The next-generation semantics involves causal models, also becoming important in a range of areas from security, systems, model checking, systems biology, to proof theory.

### **ECSYM: Events, Causality and Symmetry—the next-generation semantics**

*Objective 1* Intensional semantics: games; strong correspondence with operational semantics; metalanguage(s); higher-dimensional algebra; names

*Objective 2* Event-based reasoning: event types; event induction; causal reasoning; program logics (“Reynolds’ conjecture” for conc. sepn. logic); names

*Objective 3* Quantitative reasoning: probabilistic; stochastic; quantum(?)

*Objective 4* Application methods: security; rule-based systems biology; distributed algorithms; extending SOS to causal models